



BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<http://bmjopen.bmj.com>).

If you have any questions on BMJ Open's open peer review process please email info.bmjopen@bmj.com

BMJ Open

Association of Direct Oral Anticoagulant-Proton Pump Inhibitor Cotherapy with Adverse Outcomes: Protocol for a Population-based Cohort Study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-057991
Article Type:	Protocol
Date Submitted by the Author:	04-Oct-2021
Complete List of Authors:	Wang, Mei; Hamilton, Department of Health Research Methods, Evidence, and Impact Paterson, Michael; Institute for Clinical Evaluative Sciences, Thabane, Lehana; McMaster University, Department of Health Research Methods, Evidence, and Impact Siegal, Deborah; University of Ottawa, Department of Medicine; Ottawa Hospital Research Institute Mbuagbaw, Lawrence; McMaster University, Department of Health Research Methods, Evidence, and Impact (HEI) Targownik, Laura; Mount Sinai Hospital Holbrook, Anne; McMaster University, Clinical Pharmacology & Toxicology; Medicine
Keywords:	Bleeding disorders & coagulopathies < HAEMATOLOGY, Gastroenterology < INTERNAL MEDICINE, Cardiology < INTERNAL MEDICINE, Vascular medicine < INTERNAL MEDICINE

SCHOLARONE™
Manuscripts

Association of Direct Oral Anticoagulant-Proton Pump Inhibitor Cotherapy with Adverse Outcomes: Protocol for a Population-based Cohort Study

Running title: Drug interaction between DOACs and PPIs

Mei Wang, ^{*1, 2} J. Michael Paterson^{3, 4} Lehana Thabane,^{1, 5, 6} Deborah Siegal,^{7, 8} Lawrence Mbuagbaw,^{1, 6} Laura Targownik,⁹ Anne Holbrook,^{1, 2, 10}

¹Department of Health Research Methods, Evidence, and Impact (HEI), McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

²Clinical Pharmacology & Toxicology, St Joseph's Healthcare Hamilton, 50 Charlton Avenue East, Hamilton L8N 4A6, ON, Canada.

³ICES, 2075 Bayview Ave, Toronto M4N 3M5, ON, Canada.

⁴Institute of Health Policy, Management and Evaluation, University of Toronto, 21 King's College Circle, Toronto M5S 3J3, ON, Canada.

⁵The Research Institute of St. Joseph's Hamilton, 50 Charlton Avenue East, Hamilton, ON, L8N 4A6, Canada.⁴Division of Hematology and Thromboembolism, Department of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

⁶Biostatistics Unit, the Research Institute of St. Joseph's Hamilton, 50 Charlton Avenue East, Hamilton, ON, L8N 4A6, Canada.⁴Division of Hematology and Thromboembolism, Department of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

⁷Division of Hematology, Department of Medicine, University of Ottawa, 501 Smyth Rd Box 201A, Ottawa, ON K1H 8L6, Canada.

⁸Clinical Epidemiology Program, Ottawa Hospital Research Institute, 1053 Carling Ave, Ottawa, ON K1Y 4E9, Canada

⁹Departmental of Medicine (Gastroenterology and Hepatology), Mount Sinai Hospital, University of Toronto, 435-500 University Avenue Toronto ON, Canada, M5G 1X5

¹⁰Division of Clinical Pharmacology & Toxicology, Department of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

*Corresponding author: Mei Wang.

Tel: (905)522-1155 x 35269. Fax: 905-540-6520. Email: wangm59@mcmaster.ca

Department of Health Research Methods, Evidence, and Impact (HEI), McMaster University, 1280 Main Street West, Hamilton L8S 4K1, Ontario, Canada.

ABSTRACT

Introduction: Proton pump inhibitors (PPIs) are widely used for secondary prevention of upper gastrointestinal (GI) bleeding. However, there remains controversy about the overall net clinical benefit of PPIs (omeprazole, rabeprazole, pantoprazole, lansoprazole) when co-prescribed with direct oral anticoagulants (DOACs; dabigatran, rivaroxaban, apixaban, edoxaban). Our objective is to explore the risk of clinically relevant events, including bleeding, thromboembolic events, and death, in patients co-prescribed DOACs and PPIs.

Methods and analysis: The protocol describes a retrospective cohort study of all Ontario residents aged 66 years or older with atrial fibrillation and at least one pharmacy dispensation for a DOAC identified using linked administrative healthcare databases covering 2009 to 2020. Ontario Drug Benefit dispensation records will be used to ascertain PPI exposure during DOAC therapy. The primary outcome is a composite of clinically relevant bleeding, thrombotic events, or all-cause death. Poisson regression with a generalized estimating equation model will be used to calculate the adjusted incidence rate difference, incidence rate ratios 95% confidence interval, adjusting for propensity for PPI use using inverse probability transition weights.

Ethics and dissemination: This research is exempt from REB review under section 45 of Ontario's Personal Health Information Protection Act. We will report our findings in a peer-reviewed biomedical journal and present them at conferences. The study will provide useful evidence to optimize the co-prescription of DOACs and PPIs in practice.

Keywords: Direct oral anticoagulants (DOACs), proton pump inhibitors (PPIs), drug interaction, population-based cohort study.

Word count: 2316

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Article Summary

Strengths and limitations of this study

- Few studies explicitly investigate the effects of concomitant PPIs on clinically relevant outcomes (e.g., bleeding, thromboembolic events, and death) in patients receiving direct oral anticoagulants (DOACs).
- In this population-based cohort study of seniors, we examine the risk of thromboembolic adverse events, clinically relevant bleeding, and all-cause death in patients prescribed DOACs when concomitant taking PPIs.
- Time-dependent covariates included in Poisson regression models consider the relation of the survival outcome as a function of the change of the covariate.
- As with any observational study, an important limitation is potential for residual confounding.
- As the study is limited to patients aged ≥ 66 years, we are unable to generalize the results to younger patients.

INTRODUCTION

Background/rationale

The direct oral anticoagulants (DOACs) refer to the factor Xa inhibitors-rivaroxaban, edoxaban, apixaban, and betrixaban, and the direct thrombin inhibitor-dabigatran.¹ Before introducing DOACs within the last decade, the vitamin-K-antagonist (VKA) warfarin was the only oral anticoagulant used for prevention and treatment of thrombosis.² Proton pump inhibitors (PPIs), are H⁺-K⁺-blockers, that are used to manage acid-related gastrointestinal (GI) disorders.³ Currently, there are six PPIs available in Canada: omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole, and dexlansoprazole. The evidence for PPIs for treating gastroesophageal reflux disease and GI bleeding has been used to indirectly support its concomitant use with DOACs.⁴⁻⁸ In Canada, with the availability of the DOACs, the proportion of total oral anticoagulant (OAC) prescriptions attributable to warfarin steadily decreased, from 99% in 2010 to around 10% in 2017.⁹⁻¹⁰ According to the 2014 guidelines on AF of the Canadian Cardiovascular Society, most patients for whom an OAC is indicated should receive a DOAC rather than warfarin (strong recommendation, high-quality evidence).¹¹ At the same time, over 33 million prescriptions of PPIs were dispensed in Canada in 2016, and the number is increasing over time.¹² In 2018, direct factor Xa inhibitors and PPIs were among the top 10 drug classes in terms of public drug program spending in seniors: \$316.2 million and \$180.8 million, respectively.¹³

In a recent systematic review we showed an increased risk of bleeding in patients receiving PPI plus warfarin compared to warfarin alone (OR 1.34, 95% CI, 1.22 -1.47), likely at least partly due to residual confounding.¹⁴ However, controversy remains about the overall net clinical benefit for the PPIs when given with DOACs. Some studies reported no evidence of a protective effect of PPIs against dabigatran-related GI bleeding.¹⁵⁻¹⁶ One large randomized trial showed that pantoprazole treatment in addition to low dose rivaroxaban did not reduce upper GI bleeding.¹⁷ A prospective pilot study demonstrated that the use of dabigatran with PPIs reduced dabigatran plasma levels in patients with AF.¹⁸ Similarly, it was reported that there were no significant changes found in the anticoagulant activity of factor Xa inhibitors (rivaroxaban, apixaban, edoxaban) according to PPI exposure.¹⁹⁻²¹ There are several reports of potential pharmacodynamic and pharmacokinetic interactions between PPIs and antithrombotic agents linked to an increase of thromboembolic event.²²⁻²⁴ However, except for a lower risk of upper GI bleeding, no other clinically meaningful drug-drug interaction (DDIs) with PPIs were reported for DOACs.²⁵⁻²⁸

There is concern that the use of PPIs may reduce the efficacy of DOACs due to alteration of gastric pH as an acidic environment is required for the dissolution of DOACs; the increase in gastric pH induced by PPIs might affect the solubility and absorption of some of the DOACs (i.e., dabigatran and rivaroxaban).²⁹ In the RE-LY trial, concomitant use of PPIs reduced dabigatran exposure by 15%, but no significant impact on efficacy outcomes was observed.³⁰ A pilot RCT reported that a 2-week period of PPI withdrawal leads to a significant increase in dabigatran trough and peak plasma levels in patients with AF.³¹

It is important for clinicians to know whether there are clinically relevant effects of the interaction between PPIs and DOACs when they are co-prescribed. Several studies have considered the effects

of cotherapy on GI bleeding.^{7 32 33} However, none explicitly investigate the effects of concomitant PPIs on the range of risks and benefits (i.e., clinically relevant gastrointestinal bleeding, thromboembolic events, or death) simultaneously in DOAC-treated patients.

Objectives

The objective of the study is to examine the risk of thromboembolic events, clinically relevant bleeding, and all-cause death in patients concomitantly prescribed DOACs and PPIs.

Our research question is: Among patients receiving DOACs for any indication, does concomitant PPI prescription alter the event rate for the composite outcome (thromboembolic events, clinically relevant bleeding events, and death), compared to not taking PPIs?

METHODS AND ANALYSIS

Study design and data sources

Our study is a population-based cohort study of administrative healthcare data in Ontario, Canada’s most populous province. The databases that will be used are listed in Table 1.

We will use Ontario's administrative health databases, which are linked at the person-level using a coded version of the Ontario health insurance number. Prescription drug claims will be identified using the Ontario Drug Benefit Database, which contains comprehensive records of prescriptions dispensed to all Ontarians aged 65 years or older. The Canadian Institute for Health Information (CIHI) Discharge Abstract Database captures diagnostic and procedural information about hospital admissions. The Ontario Health Insurance Plan Registered Persons Database contains demographic and mortality data. OHIP physician claims data will be used to identify physicians' services. Researchers routinely use these databases to study the clinical consequences of drug-drug interactions.^{34 35} International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9-CM) codes and International Classification of Diseases, 10th Revision, Clinical Modification (ICD-10-CM) codes will be used to capture the clinical diagnoses associated with healthcare encounters (see **Table 1&Table 2**).

Study Population

Ontario residents aged 66 years or older who are newly dispensed a DOAC (dabigatran, rivaroxaban, edoxaban, apixaban, or betrixaban) from 1 January 2009 to 31 March 2020 will be included. As prescription drug information is available for all adults from their 65th birthday in Ontario, including individuals aged 66 years or older will allow for a 1-year lookback period for existing medications. We will exclude patients with a missing or invalid provincial health insurance number, missing age or sex, and prescription for multiple DOACs at entry. Patients will be censored upon death, hospitalization for bleeding or thrombosis, discontinuation of DOAC, switch to other than the entry DOAC, loss of health insurance, or the end of the study period (31 March 2020), whichever occurs first.

Patient and public involvement

No patient involved.

Main Exposures

We will create a DOAC cohort (the control cohort) and a DOAC-PPI co-therapy cohort (the exposure cohort). Drug exposure with doses will be determined from records of dispensation. Exposure to DOACs and PPIs will be treated as time-varying variables. The drug exposure period will be defined according to the combination of the date the prescription is filled and the prescription duration (days supplied).

We will identify a period of continuous DOAC use for each patient, beginning with the first pharmacy claim for a DOAC following the patient's 66th birthday (index date). Our definition of continuous use is a subsequent prescription within 1.5 times the days supplied of the previous DOAC prescription, using a minimum grace period of 30 days. The risk of DOAC-related bleeding, thromboembolic events, or death will be captured only while patients are taking the index DOAC. Thus, all study analyses will be restricted to periods of anticoagulant treatment during follow-up, defined as the interval from the date the prescription was filled through 1 day after the end of the days of supply, representing approximately two half-lives of the DOACs.

PPI co-therapy will be defined as the period during which gastroprotective effects are most plausible, defined as the interval from filling the prescription (or index date) through the end of the dispensed days of supply. No co-therapy will be defined as person-days with no filled PPI prescription during the observational window.

Main outcomes

The primary outcome will be a composite of clinically relevant bleeding, thrombotic events, or all-cause death. The diagnosis and procedure codes used to define the outcomes can be found in Table 2. Thrombotic events are defined as any thromboembolic event, including myocardial infarction (MI), systemic embolism, ischemic stroke, deep vein thrombosis (DVT), and pulmonary embolism (PE) as captured in hospital discharge abstracts (CIHI-DAD) or emergency department records (NACRS). Clinically relevant bleeding is defined as hospitalization with a most responsible diagnosis, or an emergency department visit with a primary diagnosis of any bleeding. Secondary outcomes include the individual members of the composite primary outcome measure, emergency department visits for the primary outcome, hospitalization for the primary Outcomes will be measured through the records for the hospitalizations and emergency visits registered in the accordingly databases after the index date.

Sample size

We will include up to 26 covariates in the final multivariable Poisson regression models and a minimum of 520 patients ($26 \text{ covariates} \times 20$) with at least one of the components of the composite outcome (i.e., clinically relevant bleeding, thromboembolic events, or death).³⁶ To our knowledge, there have been no studies examining rates of the composite outcome of clinically relevant bleeding, thromboembolic events, or death for patients taking DOACs precisely as we have defined them here. However, the sample size is feasible. According to a recently published ICES population-based study, 128,273 patients (average 14,252 annually) were initiated anticoagulation with a DOAC from 2009 to 2017, and 10.5% was reported for the composite outcome (i.e.,

clinically relevant bleedings, thromboembolic events, and death).³⁷ If the percentage of co-therapy with PPIs is around 35% (264,447 person-years/ 754,389 person-years as reported by Ray et al.),⁷ the patients in the co-therapy cohort can reach 5000 annually in ICES databases. During the 10-year observational windows, there should be around 5,250 patients with at least one component event of the composite outcome. Although it will be more than enough to fulfill our target sample size, we will still include any case eligible to perform the final analysis.

Covariates

The potential confounders include patient demographics [age at cohort entry date, sex, urban/rural (RPDB rural variable) at cohort entry, and socioeconomic status (income quintiles: census-based median neighborhood [Dissemination Area] income quintile) at cohort entry date], indications [AF, thromboembolism, valve replacement/repair comorbidities, hip or knee replacement], Charlson Comorbidity Index at entry date, comorbidities (myocardial infarction, congestive heart failure, peripheral vascular disease, ischemic stroke, transient ischemic attack, dementia, chronic pulmonary disease, anemia, kidney diseases, and hepatic diseases), components of HAS-B_ED score at cohort entry date (hypertension, abnormal kidney or liver function, stroke, bleeding history, and alcohol use)], CHA2 DS2-VASc Score for AF stroke risk at cohort entry date, and the medications relevant to the outcomes (warfarin (yes/no) within 100 days preceding the index date, former PPIs co-therapy consisted of person-days for patients who filled a PPI prescription in the past year, but whose days of supply ended and, thus, should not benefit from co-therapy.

The potential mediators of the proposed covariates during the following-up period include prescription aspirin (time-varying covariable), antiplatelet agents (time-varying covariable), nonsteroidal anti-inflammatory drugs (time-varying covariable), statins (yes/no), antimicrobials (yes/no), and selective serotonin receptor inhibitors (yes/no). Detailed information on covariates is provided in **Table 2**.

Bias

To control for confounding, we will include covariables mentioned above in the model to adjust the results. Furthermore, time-varying exposures will help address potential time-varying confounding.³⁸ For instance, the doses of our primary exposures (DOACS and PPIs) and prescription of other drugs that may affect outcome risk (e.g., NSAIDs and antiplatelet agents) will be captured in a time-varying fashion on a day-to-day basis, and time-dependent Poisson regression models will be used. In addition, any missing data will be dealt with by multiple imputation should observations be missing in more than 10% of cases.³⁹

Data collection

The lookback windows include 1) 365 days for defining new DOAC use, 2) 100 days for other related drugs, 3) 180 days to 3 years for disease comorbidities and derived indices, and 4) as per the diagnosis dates in ICES-derive chronic disease cohorts.

Baseline data collection will include age at cohort entry, sex, key medical comorbidities (see Table 2), previous GI bleeding history, indications for DOAC, the name of DOAC and PPIs, the first

prescription date of DOAC (index date), information for covariates, patients who transfer to other DOAC during the observational window, and the type and date of each outcome.

Data analysis

As this is a population-based study, we will include all eligible Ontario residents. We will compare baseline characteristics of exposures and controls using standardized differences. We computed a set of stabilized inverse probability of treatment (IPT) weight to account for differences in the baseline characteristics (Table 2) between the two cohorts.⁴⁰ First, the IPT weights were obtained by fitting a logistic regression model with the primary outcome and the DOACs and PPIs co-therapy as independent variables. Next, we applied IPT weights and assessed balance between the two cohorts by calculating weighted standardized differences, which express the difference of means or prevalence between the two cohorts as a proportion of the pooled standard deviation (SD), with standardized differences above 0.10 considered potentially meaningful. The time-dependent Poisson regression model will then be used to estimate the adjusted incidence of the target outcomes according to both exposure cohort and control cohort with all available covariates using the weighted sample⁴¹ and IPT weight adjusted incidence rate ratios (IRR) and 95% confidence intervals (CI) will be obtained. The criterion for statistical significance will be set at $\alpha = 0.05$. All statistical analyses will be performed at ICES using SAS version 9.4 (SAS Institute).

Sensitivity analysis will be performed 1) by excluding patients who did not maintain their original DOAC use assignments during their follow-up, and 2) by excluding patients who re-entered the cohort. Subgroup analysis will be performed according to the different DOACs, PPIs, and indications, respectively (if we have enough data).

ETHICS AND DISSEMINATION

This research is exempt from REB review as the data used in the project is authorized under section 45 of Ontario's Personal Health Information Protection Act. Upon completion, the results of this population-based study will be submitted to a peer-reviewed biomedical journal for publication and presented at several conferences.

Collaborators Not applicable.

Author Contributions AH and MW obtained the funding and developed the study idea. MW, AH and MP designed the study. MW obtained data permissions and research ethics approvals. LT, DS, and LM contributed to the study design, methodology and analysis plan. AH and DS provided clinical guidance, AH developed the outcome data sets and MP provided expertise in large administrative health databases housed at ICES in designing the study. MW drafted the initial manuscript, and all authors critiqued the protocol manuscript. All authors approve the attached manuscript for publication and are accountable for all aspects of the work.

Declaration of Conflicting Interests The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding This is a sub study of a randomized clinical trial which is funded by the Canadian Institutes of Health Research (CIHR) under Award Number 365834 to Dr. Anne Holbrook and in part by a studentship award to Mei Wang from Father Sean O'Sullivan Research Centre, St. Joseph's Healthcare Hamilton (no award number) and a CanVECTOR Research Start-Up Award (no award number).

Data statement Not applicable.

Disclaimer The conclusions, opinions and statements expressed herein are those of the authors and do not necessarily reflect those of the funding or data sources; no endorsement is intended or should be inferred.

Competing interests None declared.

Patient consent for publication Not required.

Provenance and peer review Not commissioned, externally peer reviewed.

References

1. Chaudhary R, Sharma T, Garg J, et al. Direct oral anticoagulants: a review on the current role and scope of reversal agents. *J Thromb Thrombolysis* 2020;49(2):271-86. doi: 10.1007/s11239-019-01954-2 [published Online First: 2019/09/13]
2. Sterne JA, Boudalia PN, Bryden PA, et al. Oral anticoagulants for primary prevention, treatment and secondary prevention of venous thromboembolic disease, and for prevention of stroke in atrial fibrillation: systematic review, network meta-analysis and cost-effectiveness analysis. *Health Technol Assess* 2017;21(9):1-386. doi: 10.3310/hta21090 [published Online First: 2017/03/11]
3. Savarino V, Marabotto E, Zentilin P, et al. Proton pump inhibitors: use and misuse in the clinical setting. *Expert Rev Clin Pharmacol* 2018;11(11):1123-34. doi: 10.1080/17512433.2018.1531703 [published Online First: 2018/10/09]
4. Burnett AE, Mahan CE, Vazquez SR, et al. Guidance for the practical management of the direct oral anticoagulants (DOACs) in VTE treatment. *Journal of thrombosis and thrombolysis* 2016;41(1):206-32. doi: 10.1007/s11239-015-1310-7
5. Chan EW, Lau WC, Leung WK, et al. Prevention of Dabigatran-Related Gastrointestinal Bleeding With Gastroprotective Agents: A Population-Based Study. *Gastroenterology* 2015;149(3):586-95.e3. doi: <https://dx.doi.org/10.1053/j.gastro.2015.05.002>
6. O'Dea D, Whetteckey J, Ting N. A Prospective, Randomized, Open-Label Study to Evaluate Two Management Strategies for Gastrointestinal Symptoms in Patients Newly on Treatment with Dabigatran. *Cardiol* 2016;5(2):187-201.
7. Ray WA, Chung CP, Murray KT, et al. Association of Oral Anticoagulants and Proton Pump Inhibitor Cotherapy With Hospitalization for Upper Gastrointestinal Tract Bleeding. *Jama* 2018;320(21):2221-30. doi: <https://dx.doi.org/10.1001/jama.2018.17242>
8. Tang B, Xiao S. Logistic regression analysis of risk factors for upper gastrointestinal bleeding induced by PCI in combination with double antiplatelet therapy for STEMI patients. *Acta Gastroenterol Belg* 2020;83(2):245-48.

9. Weitz JI, Semchuk W, Turpie AG, et al. Trends in Prescribing Oral Anticoagulants in Canada, 2008-2014. *Clin Ther* 2015;37(11):2506-14.e4. doi: 10.1016/j.clinthera.2015.09.008 [published Online First: 2015/10/21]
10. Perreault S, de Denus S, White-Guay B, et al. Oral Anticoagulant Prescription Trends, Profile Use, and Determinants of Adherence in Patients with Atrial Fibrillation. *Pharmacotherapy* 2020;40(1):40-54. doi: 10.1002/phar.2350 [published Online First: 2019/11/24]
11. Verma A, Cairns JA, Mitchell LB, et al. 2014 focused update of the Canadian Cardiovascular Society Guidelines for the management of atrial fibrillation. *Can J Cardiol* 2014;30(10):1114-30.
12. Summary Safety Review - Proton Pump Inhibitors (PPIs) - Assessing the risk of a type of skin reaction [Subacute Cutaneous Lupus Erythematosus (SCLE)] 2017 [updated December 7, 2017. Available from: <https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html> accessed September 10 2020.
13. Lee K, Jani T, Cheng R, et al. Prescribed Drug Spending in Canada, 2019: A Focus on Public Drug Programs. *Healthcare quarterly (Toronto, Ont)* 2020;23(1):10-12.
14. Wang M, Zeraatkar D, Obeda M, et al. Drug-drug interactions with warfarin: A systematic review and meta-analysis. *Br J Clin Pharmacol* 2021;n/a(n/a) doi: <https://doi.org/10.1111/bcp.14833>
15. Bang CS, Joo MK, Kim BW, et al. The Role of Acid Suppressants in the Prevention of Anticoagulant-Related Gastrointestinal Bleeding: A Systematic Review and Meta-Analysis. *Gut and liver* 2020;14(1):57-66. doi: <https://dx.doi.org/10.5009/gnl19009>
16. Nantsupawat T, Soontrapa S, Nantsupawat N, et al. Risk factors and prevention of dabigatran-related gastrointestinal bleeding in patients with atrial fibrillation. *J* 2018;34(1):30-35. doi: <https://dx.doi.org/10.1002/joa3.12015>
17. Moayyedi P, Eikelboom JW, Bosch J, et al. Pantoprazole to Prevent Gastroduodenal Events in Patients Receiving Rivaroxaban and/or Aspirin in a Randomized, Double-Blind, Placebo-Controlled Trial. *Gastroenterology* 2019;157(2):403-12.e5. doi: <https://dx.doi.org/10.1053/j.gastro.2019.04.041>
18. Bolek T, Samoř M, Stančáková L, et al. The Impact of Proton Pump Inhibition on Dabigatran Levels in Patients With Atrial Fibrillation. *Am J Ther* 2019;26(3):e308-e13. doi: 10.1097/mjt.0000000000000599 [published Online First: 2017/04/30]
19. Bolek T, Samos M, Skornova I, et al. Does proton pump inhibition change the on-treatment anti-Xa activity in xabans-treated patients with atrial fibrillation? A pilot study. *J Thromb Thrombolysis* 2019;47(1):140-45. doi: <https://dx.doi.org/10.1007/s11239-018-1748-5>
20. Moayyedi P, Eikelboom JW, Bosch J, et al. Safety of Proton Pump Inhibitors Based on a Large, Multi-Year, Randomized Trial of Patients Receiving Rivaroxaban or Aspirin. *Gastroenterology* 2019;157(3):682-91.e2. doi: <https://dx.doi.org/10.1053/j.gastro.2019.05.056>
21. Moore KT, Plotnikov AN, Thyssen A, et al. Effect of multiple doses of omeprazole on the pharmacokinetics, pharmacodynamics, and safety of a single dose of rivaroxaban. *J Cardiovasc Pharmacol* 2011;58(6):581-8. doi: <https://dx.doi.org/10.1097/FJC.0b013e31822f6c2b>
22. Gilard M, Arnaud B, Cornily JC, et al. Influence of omeprazole on the antiplatelet action of clopidogrel associated with aspirin: the randomized, double-blind OCLA (Omeprazole

- Clopidogrel Aspirin) study. *J Am Coll Cardiol* 2008;51(3):256-60. doi: 10.1016/j.jacc.2007.06.064 [published Online First: 2008/01/22]
23. O'Donoghue ML, Braunwald E, Antman EM, et al. Pharmacodynamic effect and clinical efficacy of clopidogrel and prasugrel with or without a proton-pump inhibitor: an analysis of two randomised trials. *Lancet* 2009;374(9694):989-97. doi: 10.1016/s0140-6736(09)61525-7 [published Online First: 2009/09/04]
 24. Muldowney JA, 3rd, Benge CD. Combination therapy with clopidogrel and proton-pump inhibitors. *Lancet* 2010;375(9708):27-8; author reply 28-9. doi: 10.1016/s0140-6736(09)62183-8 [published Online First: 2010/01/30]
 25. Hutchaleelaha A, Lambing J, Romanko K, et al. Effect of a Proton Pump Inhibitor or an Antacid on Pharmacokinetics of Betrixaban, a Novel Oral Factor Xa Inhibitor: 1389928. *Clinical Pharmacology in Drug Development* 2012;1(4)
 26. Giugliano RP, Ruff CT, Braunwald E, et al. Edoxaban versus warfarin in patients with atrial fibrillation. *N Engl J Med* 2013;369(22):2093-104.
 27. Investigators H-V. Edoxaban versus warfarin for the treatment of symptomatic venous thromboembolism. *N Engl J Med* 2013;369:1406-15.
 28. Upreti VV, Song Y, Wang J, et al. Effect of famotidine on the pharmacokinetics of apixaban, an oral direct factor Xa inhibitor. *Clinical pharmacology: advances and applications* 2013;5:59.
 29. Ogawa R, Echizen H. Drug-drug interaction profiles of proton pump inhibitors. *Clin Pharmacokinet* 2010;49(8):509-33. doi: 10.2165/11531320-000000000-00000 [published Online First: 2010/07/09]
 30. Liesenfeld KH, Lehr T, Dansirikul C, et al. Population pharmacokinetic analysis of the oral thrombin inhibitor dabigatran etexilate in patients with non-valvular atrial fibrillation from the RE-LY trial. *J Thromb Haemost* 2011;9(11):2168-75. doi: <https://dx.doi.org/10.1111/j.1538-7836.2011.04498.x>
 31. Schnierer M, Samos M, Bolek T, et al. The Effect of Proton Pump Inhibitor Withdrawal on Dabigatran Etexilate Plasma Levels in Patients With Atrial Fibrillation: A Washout Study. *J Cardiovasc Pharmacol* 2020;75(4):333-35. doi: <https://dx.doi.org/10.1097/FJC.0000000000000791>
 32. Lee SR, Kwon S, Choi EK, et al. Proton Pump Inhibitor Co-Therapy in Patients with Atrial Fibrillation Treated with Oral Anticoagulants and a Prior History of Upper Gastrointestinal Tract Bleeding. *Cardiovasc Drugs Ther* 2021 doi: 10.1007/s10557-021-07170-6 [published Online First: 2021/03/18]
 33. Lee H-J, Kim H-K, Kim B-S, et al. Risk of upper gastrointestinal bleeding in patients on oral anticoagulant and proton pump inhibitor co-therapy. *PLoS ONE* 2021;16(6):e0253310-e10. doi: 10.1371/journal.pone.0253310
 34. Wright AJ, Gomes T, Mamdani MM, et al. The risk of hypotension following co-prescription of macrolide antibiotics and calcium-channel blockers. *Cmaj* 2011;183(3):303-7. doi: 10.1503/cmaj.100702 [published Online First: 2011/01/19]
 35. Juurlink DN, Gomes T, Ko DT, et al. A population-based study of the drug interaction between proton pump inhibitors and clopidogrel. *Cmaj* 2009;180(7):713-8. doi: 10.1503/cmaj.082001 [published Online First: 2009/01/30]
 36. Ogundimu EO, Altman DG, Collins GS. Adequate sample size for developing prediction models is not simply related to events per variable. *J Clin Epidemiol* 2016;76:175-82. doi: 10.1016/j.jclinepi.2016.02.031 [published Online First: 2016/03/12]

- 1
2
3 37. Durand M, Schnitzer ME, Pang M, et al. Comparative effectiveness and safety of direct oral
4 anticoagulants versus vitamin K antagonists in nonvalvular atrial fibrillation: a Canadian
5 multicentre observational cohort study. *CMAJ Open* 2020;8(4):E877-e86. doi:
6 10.9778/cmajo.20200055 [published Online First: 2020/12/24]
7
8 38. Mansournia MA, Etminan M, Danaei G, et al. Handling time varying confounding in
9 observational research. *Bmj* 2017;359:j4587. doi: 10.1136/bmj.j4587 [published Online
10 First: 2017/10/19]
11
12 39. Pedersen AB, Mikkelsen EM, Cronin-Fenton D, et al. Missing data and multiple imputation
13 in clinical epidemiological research. *Clin Epidemiol* 2017;9:157-66. doi:
14 10.2147/clin.S129785 [published Online First: 2017/03/30]
15
16 40. Austin PC, Stuart EA. Moving towards best practice when using inverse probability of
17 treatment weighting (IPTW) using the propensity score to estimate causal treatment
18 effects in observational studies. *Stat Med* 2015;34(28):3661-79. doi: 10.1002/sim.6607
19 [published Online First: 2015/08/05]
20
21 41. Austin PC. An Introduction to Propensity Score Methods for Reducing the Effects of
22 Confounding in Observational Studies. *Multivariate Behav Res* 2011;46(3):399-424. doi:
23 10.1080/00273171.2011.568786 [published Online First: 2011/08/06]
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 1. Description of the Ontario databases to be used in the study

Name of database	Database description
1. Ontario Drug Benefit (ODB) Plan Database	Records of dispensed outpatient prescriptions paid for by the provincial government. The ODB formulary includes a wide range of routine outpatient medications, including the prescription drugs of interest to this study.
2. Canadian Institute for Health Information–Discharge Abstract Database (CIHI-DAD)	The CIHI-DAD collects diagnostic, and procedural variables for each admission to a hospital in Ontario. Coding of primary and secondary diagnoses and inpatient procedures uses the 10th version of the Canadian Modified International Classification of Diseases (ICD-10 CA) for all diagnoses after 2002.
3. Canadian Institute for Health Information–National Ambulatory Care Reporting System (CIHI-NACRS)	The NACRS is compiled by the Canadian Institute for Health Information (CIHI) and contains administrative, clinical (diagnoses and procedures), demographic, and administrative information for all patient visits made to hospital- and community-based ambulatory care centers (emergency departments, day surgery units, hemodialysis units, and cancer care clinics) in Ontario.
4. Ontario Health Insurance Plan (OHIP) Claims History Database	Claims for physician services paid for by the provincial government. It includes a fee code for each service and a diagnosis code for the condition representing the main reason for each service
5. OHIP Registered Persons Database (RPDB)	The RPDB captures information regarding Ontarians' sex, date of birth, postal code, and vital status.
6. Ontario Mental Health Reporting System (OMHRS)	The OMHRS analyzes and reports on information submitted to CIHI about all individuals receiving hospital-based adult mental health services in Ontario.
7. Same Day Surgery Database (SDS)	The SDS summarizes information about same day surgery encounters. Each record contains the procedures undergone as well as clinical information about the individual. The clinical information follows the ICD coding scheme (ICD-9 before 2002 and ICD-10 from 2002 onwards).
8. Corporate Provider Database (CPDB)	This database contains addresses, registration and program eligibility information (e.g.,

	contracts such as primary care group) about individual health care providers, such as physicians.
9. ICES Physician Database (IPDB)	The IPDB contains information about physicians practicing in Ontario. The IPDB includes demographic information about each physician (i.e., age, sex), practice location, physician specialty, services provided, where each physician was trained and year of graduation.
10. Ontario Census Area Profiles (CENSUS)	Ontario-level demographic and statistical data on individuals and households.
11. Postal Code Conversion File (PCCF)	Links postal codes with Census-based area-level variables such as neighborhood income quintiles and urban/rural residence.
12. Ontario Asthma Database (ASTHMA)	ASTHMA contains all Ontario asthma patients identified since 1991.
13. Ontario Congestive Heart Failure Database (CHF)	The CHF database contains all Ontarians with CHF identified since 1991.
14. Ontario Chronic Obstructive Pulmonary Disease Database (COPD)	COPD contains all Ontario COPD patients identified since 1991.
15. Ontario Hypertension Database (HYPER)	HYPER contains all Ontario hypertension patients identified since 1991.
16. Ontario Dementia Database (DEMENTIA)	The Ontario Dementia Dataset is comprised of all Ontario persons who have been identified with Alzheimer's and related dementias in ICES data holdings between the ages of 40 to 110 years.
17. Ontario Crohn's and Colitis Cohort Database (OCCC)	OCCC includes all Ontario patients who were identified with Crohn's disease or Ulcerative Colitis from the ages of 0-105 years.
18. Ontario Diabetes Database (ODD)	The ODD is a population-based disease registry constructed using a validated algorithm based on hospitalizations and physician visits to identify individuals with physician-diagnosed diabetes mellitus in Ontario.
19. Ontario Rheumatoid Arthritis Database (ORAD)	ORAD contains data on all Ontario rheumatoid arthritis patients identified since 1991.
20. Ontario Cancer Registry (OCR)	Patient demographics, cancer diagnosis details, and death information.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

Table 2. Variables and their related data sources with codes (if applicable).

Variables	Data source	Codes or specified
Demographics		
Age & sex	RPDB and CENSUS	Not applicable
Income quintile	Statistics Canada and CENSUS	Not applicable
Rural residence	Census Postal Code Conversion File and CENSUS	Not applicable
Indications		
Atrial fibrillation (AF)	NACRS and DAD	ICD10 I48.0, I48.1, I48.2, I48.3, I48.4, I48.9
Thromboembolism	DAD, NACRS, and OHIP	DAD/NACRS ICD10: I26.0, I26.9, I80.1, I80.2, I80.3, I80.8, I80.9, I82.8, I82.9 OHIP Diagnosis Codes: 415, 451
Valve Replacement/Repair	DAD	DAD CCI : <ul style="list-style-type: none">• 1HU90 Mitral valve replacement• 1HU80 Mitral valve repair• 1HV90 Aortic valve replacement• 1HV80 Aortic valve repair• 1HT90 Pulmonary valve replacement• 1HT80 Pulmonary valve repair• 1HS90 Tricuspid valve replacement• 1HS80 Tricuspid valve repair• 1HW Valve annulus surgery
Hip or Knee Replacement	DAD	DAD CCI: <ul style="list-style-type: none">• 1VA53 implantation of internal device, hip joint• 1VG53 implantation of internal device; knee joint.
Exposures on a day-to-day basis during the following-up period		
Direct oral anticoagulants (DOACs)	ODB	Rivaroxaban, dabigatran, edoxaban, and apixaban
The proton pump inhibitors (PPIs)	ODB	Omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole, and dextlansoprazole.
Comorbidities		

1. Chronic kidney disease (CKD) in the 3 years prior to cohort entry	CIHI-DAD and OHIP	<p>CIHI-DAD:</p> <ul style="list-style-type: none"> • I12.0 Hypertensive renal disease with renal failure • I13.1 Hypertensive heart and renal disease with renal failure • N03.X Chronic nephritic syndrome • N05.X Unspecified nephritic syndrome • N18.X Chronic renal failure • N19.X Unspecified renal failure • N25.X Disorders resulting from impaired renal tubular function. <p>OHIP:</p> <ul style="list-style-type: none"> • 403 Hypertensive renal disease • 585 Chronic renal failure;
2. End stage renal disease (ESRD) in the 180 days prior to cohort entry	DAD/NACRS	<p>DAD/NACRS CCI</p> <ul style="list-style-type: none"> • 1PZ21HQBR • 1PZ21HPD4 • 1PZ21HQBS. • 1PC85LAXXJ transplant; kidney using living donor (allogenic or syngeneic) kidney • 1PC85LAXXK transplant; kidney using cadaver kidney. <p>OHIP Fee Codes</p> <ul style="list-style-type: none"> • R849 Dialysis - Hemodialysis - Initial & acute. • G323 Dialysis - Hemodialysis - Acute, repeat (max 3) • G325 Dialysis - Hemodialysis - Medical component (incl in unit fee) • G32 Dialysis - Chronic, contin. hemodialysis or hemofiltration each • G86 Chronic hemodialysis hospital location

		<ul style="list-style-type: none">• G862 Hospital self-care Chronic hemodialysis• G863 Chronic hemodialysis IHF location• G86 Chronic Home hemodialysis• G866 Intermittent hemodialysis treatment centre• G330 Peritoneal dialysis - Acute (up to 48 hrs)• G331 Peritoneal dialysis - Repeat acute (up to 48 hrs) max. 3• G332 Peritoneal dialysis - Chronic (up to 48 hrs) [NOT AFTER JAN 2008]• G861 Chronic peritoneal dialysis hospital location• G864 Chronic Home peritoneal dialysis• G082 Continuous venovenous hemodiafiltration• G083 Continuous venovenous haemodialysis• G085 Continuous venovenous hemofiltration• G090 Venovenous slow continuous ultrafiltration• G091 Continuous arteriovenous haemodialysis• G092 Continuous arteriovenous hemodiafiltration• G093 Hemodiafiltration - Contin. Init & Acute (repeatx3)• G094 Hemodiafiltration - Contin. Chronic• G095 Slow Continuous Ultra Filtration - Initial & Acute (repeat)
--	--	--

		<ul style="list-style-type: none"> • G096 Slow Continuous Ultra Filtration – Chronic • G294 Arteriovenous slow continuous ultrafiltration init and acute • G295 Continuous arteriovenous hemofiltration initial and acute • G333 Home/self-care dialysis • H540 Renal dialysis (outpatient).
3. Liver disease in the 3 years prior to cohort entry	CIHI-DAD and OHIP	CIHI-DAD: B18.x, K70.x, K71.1, K71.3–K71.5, K71.7, K72.x–K74.x, K76.0, K76.2–K76.9, Z94.4 liver disease. OHIP Diagnosis Code: 571 liver disease.
4. Alcoholism in the 3 years prior to cohort entry	CIHI and OHIP	CIHI: F102, G312, G621, G721, I426, K292, K860, Z8640. OHIP Diagnosis Code: 303
5. Dementia in the 3 years prior to cohort entry	Ontario Dementia Database (DEMENTIA)	Not applicable
6. Diabetes in the 3 years prior to cohort entry in the 3 years prior to cohort entry	Ontario Diabetes Dataset (ODD)	Not applicable
7. Hypertension: Ontario Hypertension Database in the 3 years prior to cohort entry	Ontario Hypertension dataset (HYPER)	Not applicable
8. Congestive heart failure (CHF) in the 3 years prior to cohort entry	Congestive Heart Failure (CHF)	Not applicable
9. Active Cancer	OCR, OHIP	Diagnosis in OCR within 1 year OR any of the following OHIP fee codes within 180 days: chemotherapy: G281, G339, G345, G359, G381, G382, G388; and radiation: X310, X311, X312, X313.
10. CHADS ₂ -VASc Score for Atrial Fibrillation Stroke Risk at cohort entry date	As specified for each code related.	<ol style="list-style-type: none"> 1. Congestive heart failure (CHF database): 1 point 2. Hypertension (HYPER database): 1 point 3. Age 65-74 years: 1 point and age 75 years or older: 2 points

		<div>4. Diabetes Mellitus (Ontario Diabetes Database): 1 point</div> <div>5. Previous thromboembolism (codes as following in the preceding 3 years): Any or more than 1 of these codes leads to 2 points. Total score can be 0 or 2.</div> <div>6. Vascular disease (CAD or PVD: CIHI DAD/NACRS: I25x, I70x, I71x, I73x, I74x, K55.1. OHIP: 412, 451 in the preceding 3 years): 1 point</div> <div>7. Female Sex: 1 point</div>
<div>11. HAS-BLED Score at cohort entry date: HAS-B_ED is HAS-BLED without the variable INR (with factors as defined above in the 3-y preceding entry or according to the definition of the ICES-derived cohort)</div>	<div>As specified for each code related.</div>	<div>1. Hypertension (HYPER database): 1 point</div> <div>2. Abnormal renal function (codes for CKD and ESRD) described above): 1 point</div> <div>3. Abnormal liver function (codes described above): 1 point</div> <div>4. Stroke or TIA (CIHI-DAD: I63.0, I63.1, I63.2, I63.3, I63.4, I63.5, I63.6, I63.8, I63.9, I64, I65, I65.0, I65.1, I65.2, I65.3, I65.8, I65.9, I66, I66.0, I66.1, I66.2, I66.3, I66.4, I66.8, I66.9 cerebral infarction (ischemic stroke); G45.0, G45.1, G45.2, G45.3, G45.8, G45.9 transient ischemic attack (TIA)): 1 point</div> <div>5. Bleeding history (bleeding codes described as following in outcome section): 1 point</div> <div>6. Elderly: Age over 65: 1 point</div> <div>7. Alcoholism (codes described above): 1 point</div>
<div>12. Charlson Comorbidity Index (using a 3-year lookback).</div>	<div>DAD</div>	<div>Derived using an ICES-developed macro</div>
<div>Potential drug interactions – dispensed in the past 3 months prior to cohort entry</div>		
<div>1. Warfarin: yes/no</div>	<div>ODB</div>	<div>Not applicable</div>
<div>1. Former PPIs co-therapy: yes/no</div>	<div>ODB</div>	<div>Not applicable</div>

Potential drug interactions – dispensed during the following up period		
1. Non-steroidal anti-inflammatory drugs*: day-to-day basis	ODB	ibuprofen, naproxen, etodolac, nabumetone, indomethacin, rofecoxib, celecoxib, etoricoxib, valdecoxib, and meloxicam
2. Selective serotonin reuptake inhibitors (SSRI): yes/no.	ODB	citalopram, escitalopram, fluoxetine, paroxetine, sertraline, duloxetine, mirtazapine, trazodone, amitriptyline, nortriptyline, imipramine, and bupropion
3. Amiodarone	ODB	Not applicable
4. Statins: yes/no.	ODB	Atorvastatin, Fluvastatin, Pravastatin, or Simvastatin
5. Aspirin*: day-to-day basis	ODB	Not applicable
6. Antiplatelets: day-to-day basis	ODB	clopidogrel, ticagrelor, dipyridamole, ticlopidine, or prasugrel
7. Antimicrobials: yes/no.	ODB	Fluconazole, Cephalexin, Cefuroxime, Cotrimoxazole, trimethoprim, Macrolides, Azithromycin, Clarithromycin, Macrolides, Ocular Antibiotics, Amoxicillin, Ampicillin, Penicillins, Gatifloxacin, Ciprofloxacin, Norfloxacin, Quinolones, or Levofloxacin
Outcomes		
Bleeding events	CIHI-DAD and CIHI-NACRS	ICD10 1. Intracranial haemorrhage: I60, I61, I62.0, I62.1, I62.9, S06.400, S06.401, S06.410, S06.411, S06.420, S06.421, S06.430, S06.431, S06.440, S06.441, S06.490, S06.491, S06.500, S06.501, S06.510, S06.511, S06.520, S06.521, S06.530, S06.531, S06.540, S06.541, S06.590, S06.591, S06.600, S06.601, S06.610, S06.611, S06.620, S06.621, S06.630, S06.631, S06.640, S06.641, S06.690, S06.691 2. Eye haemorrhage H35.6, H43.1, H45.0, H11.3, H31.3

		<div>3. Bleeding of respiratory system: R04.0, R04.1, R04.2, R04.8, R04.9, J94.2</div> <div>4. Upper GI bleeding: I85.0, I98.20, I98.3, K22.10, K22.12, K22.14, K22.16, K22.6, K25.0, K25.2, K25.4, K25.6, K26.0, K26.2, K26.4, K26.6, K27.0, K27.2, K27.4, K27.6, K28.0, K28.2, K28.4, K28.6, K29.0, K31.80</div> <div>5. Lower GI bleeding and general GI bleeding: K62.5, K55.20, K55.21, K63.80, K92.0, K92.1, K92.2</div> <div>6. Urogenital system bleeding: R31, R310, R311, N02.0, N02.1, N02.2, N02.3, N02.4, N02.5, N02.6, N02.7, N02.8, N02.9, N93.0, N93.8, N93.9, N95.0</div> <div>7. Bleeding of muscular and skeletal systems: M25, M25.00, M25.01, M25.02, M25.03, M25.04, M25.05, M25.06, M25.07, M25.08, M25.09</div> <div>8. Others: K66.1, N42.1, R58, T79.2, K66.1, D68.3</div>
Thromboembolic event	CIHI-DAD and CIHI-NACRS	<div>ICD10</div> <div>1. Cerebral infarction (ischemic stroke): I63.0, I63.1, I63.2, I63.3, I63.4, I63.5, I63.6, I63.8, I63.9, I64, I65, I65.0, I65.1, I65.2, I65.3, I65.8, I65.9, I66, I66.0, I66.1, I66.2, I66.3, I66.4, I66.8, I66.9</div> <div>2. Transient ischemic attack (TIA): G45.0, G45.1, G45.2, G45.3, G45.8, G45.9</div> <div>3. Retinal vascular occlusions: H34.0, H34.1, H34.2, H34.8, H34.9</div>

		<ol style="list-style-type: none"> 4. Myocardial infarction (MI): I21.1, I21.2, I21.3, I21.4, I21.9, I22.0, I22.1, I22.8, I22.9, I23.0, I23.2, I23.3, I23.4, I23.5, I23.6, I23.8, I24.0, I24.1, I24.8, I24.9 5. Pulmonary embolism (PE): I26.0, I26.9 6. Vascular disorders of intestine: K55.0, K55.1, K55.9 7. Systemic embolism: I74.0, I74.1, I74.2, I74.3, I74.4, I74.5, I74.8, I74.9 8. Atherosclerosis: I70.0, I70.1, I70.2, I70.20, I70.21, I70.8, I70.9 9. Nontraumatic ischemic infarction of muscle: M62.2 10. Thrombophlebitis: I80.0, I80.1, I80.2, I80.3, I80.8, I80.9, G08 11. Other venous embolism and thrombosis: I82.0, I82.1, I82.2, I82.3, I82.8, I82.9, I81, I67.6 12. Other peripheral vascular diseases: I73.1, I73.8, I73.9
All cause death	RPDB	Not applicable

Abbreviation: the abbreviation for databases refer to Table 1., CCI for Canadian Classification of Interventions codes.

BMJ Open

Association of Direct Oral Anticoagulant-Proton Pump Inhibitor Cotherapy with Adverse Outcomes: Protocol for a Population-based Cohort Study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-057991.R1
Article Type:	Protocol
Date Submitted by the Author:	14-Jan-2022
Complete List of Authors:	Wang, Mei; Hamilton, Department of Health Research Methods, Evidence, and Impact Paterson, Michael; Institute for Clinical Evaluative Sciences, Thabane, Lehana; McMaster University, Department of Health Research Methods, Evidence, and Impact Siegal, Deborah; University of Ottawa, Department of Medicine; Ottawa Hospital Research Institute Mbuagbaw, Lawrence; McMaster University, Department of Health Research Methods, Evidence, and Impact (HEI) Targownik, Laura; Mount Sinai Hospital Holbrook, Anne; McMaster University, Clinical Pharmacology & Toxicology; Medicine
Primary Subject Heading:	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology, Pharmacology and therapeutics
Keywords:	Bleeding disorders & coagulopathies < HAEMATOLOGY, Gastroenterology < INTERNAL MEDICINE, Cardiology < INTERNAL MEDICINE, Vascular medicine < INTERNAL MEDICINE

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Association of Direct Oral Anticoagulant-Proton Pump Inhibitor Cotherapy with Adverse Outcomes: Protocol for a Population-based Cohort Study

Running title: Drug interaction between DOACs and PPIs

Mei Wang, ^{*1, 2} J. Michael Paterson^{3, 4} Lehana Thabane,^{1, 5, 6} Deborah Siegal,^{7, 8} Lawrence Mbuagbaw,^{1, 6} Laura Targownik,⁹ Anne Holbrook,^{1, 2, 10}

¹Department of Health Research Methods, Evidence, and Impact (HEI), McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

²Clinical Pharmacology & Toxicology, St Joseph's Healthcare Hamilton, 50 Charlton Avenue East, Hamilton L8N 4A6, ON, Canada.

³ICES, 2075 Bayview Ave, Toronto M4N 3M5, ON, Canada.

⁴Institute of Health Policy, Management and Evaluation, University of Toronto, 21 King's College Circle, Toronto M5S 3J3, ON, Canada.

⁵The Research Institute of St. Joseph's Hamilton, 50 Charlton Avenue East, Hamilton, ON, L8N 4A6, Canada.⁴Division of Hematology and Thromboembolism, Department of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

⁶Biostatistics Unit, the Research Institute of St. Joseph's Hamilton, 50 Charlton Avenue East, Hamilton, ON, L8N 4A6, Canada.⁴Division of Hematology and Thromboembolism, Department of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

⁷Division of Hematology, Department of Medicine, University of Ottawa, 501 Smyth Rd Box 201A, Ottawa, ON K1H 8L6, Canada.

⁸Clinical Epidemiology Program, Ottawa Hospital Research Institute, 1053 Carling Ave, Ottawa, ON K1Y 4E9, Canada

⁹Departmental of Medicine (Gastroenterology and Hepatology), Mount Sinai Hospital, University of Toronto, 435-500 University Avenue Toronto ON, Canada, M5G 1X5

¹⁰Division of Clinical Pharmacology & Toxicology, Department of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

*Corresponding author: Mei Wang.

Tel: (905)522-1155 x 35269. Fax: 905-540-6520. Email: wangm59@mcmaster.ca

Department of Health Research Methods, Evidence, and Impact (HEI), McMaster University, 1280 Main Street West, Hamilton L8S 4K1, Ontario, Canada.

31 ABSTRACT

32 **Introduction:** Proton pump inhibitors (PPIs) are widely used for secondary prevention of upper
33 gastrointestinal (GI) bleeding. However, there remains controversy about the overall net clinical
34 benefit of PPIs (omeprazole, rabeprazole, pantoprazole, lansoprazole) when co-prescribed with
35 direct oral anticoagulants (DOACs; dabigatran, rivaroxaban, apixaban, edoxaban). Our objective
36 is to explore the risk of clinically relevant events, including bleeding, thromboembolic events, and
37 death, in patients co-prescribed DOACs and PPIs.

38 **Methods and analysis:** The protocol describes a retrospective cohort study of all Ontario residents
39 aged 66 years or older with atrial fibrillation and at least one pharmacy dispensation for a DOAC
40 identified using linked administrative healthcare databases covering 2009 to 2020. Ontario Drug
41 Benefit dispensation records will be used to ascertain PPI exposure during DOAC therapy. The
42 primary outcome is a composite of clinically relevant bleeding, thrombotic events, or all-cause
43 death. Poisson regression with a generalized estimating equation model will be used to calculate
44 the adjusted incidence rate difference, incidence rate ratios 95% confidence interval, adjusting for
45 propensity for PPI use using inverse probability of treatment weights.

46 **Ethics and dissemination:** This research is exempt from REB review under section 45 of
47 Ontario's Personal Health Information Protection Act. We will report our findings in a peer-
48 reviewed biomedical journal and present them at conferences. The study will provide useful
49 evidence to optimize the co-prescription of DOACs and PPIs in practice.

50 **Keywords:** Direct oral anticoagulants (DOACs), proton pump inhibitors (PPIs), drug interaction,
51 population-based cohort study.

52 **Word count:** 2501

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Strengths and limitations of this study

- This will be a population-based cohort study using Ontario’s administrative health databases.
- Exposures and covariates will be time dependent.
- As the study is limited to patients aged >66 years, we cannot generalize the results to younger patients.
- As with any observational study, there is potential for residual confounding.

For peer review only

INTRODUCTION

Background/rationale

The direct oral anticoagulants (DOACs) refer to the factor Xa inhibitors-rivaroxaban, edoxaban, apixaban, and betrixaban, and the direct thrombin inhibitor-dabigatran.¹ Before introducing DOACs within the last decade, the vitamin-K-antagonist (VKA) warfarin was the only oral anticoagulant used for prevention and treatment of thrombosis.² Proton pump inhibitors (PPIs), are H⁺-K⁺-blockers, that are used to manage acid-related gastrointestinal (GI) disorders.³ Currently, there are six PPIs available in Canada: omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole, and dexlansoprazole. The evidence for PPIs for treating gastroesophageal reflux disease and GI bleeding has been used to indirectly support its concomitant use with DOACs.⁴⁻⁸ In Canada, with the availability of the DOACs, the proportion of total oral anticoagulant (OAC) prescriptions attributable to warfarin steadily decreased, from 99% in 2010 to around 10% in 2017.⁹⁻¹⁰ According to the 2014 guidelines on AF of the Canadian Cardiovascular Society, most patients for whom an OAC is indicated should receive a DOAC rather than warfarin (strong recommendation, high-quality evidence).¹¹ At the same time, over 33 million prescriptions of PPIs were dispensed in Canada in 2016, and the number is increasing over time.¹² In 2018, direct factor Xa inhibitors and PPIs were among the top 10 drug classes in terms of public drug program spending in seniors: \$316.2 million and \$180.8 million, respectively.¹³

In a recent systematic review we showed an increased risk of bleeding in patients receiving PPI plus warfarin compared to warfarin alone (OR 1.34, 95% CI, 1.22 -1.47), likely at least partly due to residual confounding.¹⁴ However, controversy remains about the overall net clinical benefit for the PPIs when given with DOACs. Some studies reported no evidence of a protective effect of PPIs against dabigatran-related GI bleeding.¹⁵⁻¹⁶ One large randomized trial showed that pantoprazole treatment in addition to low dose rivaroxaban did not reduce upper GI bleeding.¹⁷ A prospective pilot study demonstrated that the use of dabigatran with PPIs reduced dabigatran plasma levels in patients with AF.¹⁸ Similarly, it was reported that there were no significant changes found in the anticoagulant activity of factor Xa inhibitors (rivaroxaban, apixaban, edoxaban) according to PPI exposure.¹⁹⁻²¹ There are several reports of potential pharmacodynamic and pharmacokinetic interactions between PPIs and antithrombotic agents linked to an increase of thromboembolic event.²²⁻²⁴ However, except for a lower risk of upper GI bleeding, no other clinically meaningful drug-drug interaction (DDIs) with PPIs were reported for DOACs.²⁵⁻²⁸

There is concern that the use of PPIs may reduce the efficacy of DOACs due to alteration of gastric pH as an acidic environment is required for the dissolution of DOACs; the increase in gastric pH induced by PPIs might affect the solubility and absorption of some of the DOACs (i.e., dabigatran and rivaroxaban).²⁹ In the RE-LY trial, concomitant use of PPIs reduced dabigatran exposure by 15%, but no significant impact on efficacy outcomes was observed.³⁰ A pilot RCT reported that a 2-week period of PPI withdrawal leads to a significant increase in dabigatran trough and peak plasma levels in patients with AF.³¹

It is important for clinicians to know whether there are clinically relevant effects of the interaction between PPIs and DOACs when they are co-prescribed. Several studies have considered the effects

1
2
3 100 of cotherapy on GI bleeding.^{7 32 33} However, none explicitly investigate the effects of concomitant
4 101 PPIs on the range of risks and benefits (i.e., clinically relevant gastrointestinal bleeding,
5 102 thromboembolic events, or death) simultaneously in DOAC-treated patients.

7
8 103 **Objectives**

9
10 104 The objective of the study is to examine the risk of thromboembolic events, clinically relevant
11 105 bleeding, and all-cause death in patients concomitantly prescribed DOACs and PPIs.

12
13 106 Our research question is: Among patients receiving DOACs for any indication, does concomitant
14 107 PPI prescription alter the event rate for the composite outcome (thromboembolic events, clinically
15 108 relevant bleeding events, and death), compared to not taking PPIs?

16
17 109 **METHODS AND ANALYSIS**

18
19 110 **Study design and data sources**

20
21 111 Our study is a population-based cohort study of administrative healthcare data in Ontario, Canada's
22 112 most populous province. The databases that will be used are listed in Table 1.

23
24 113 We will use Ontario's administrative health databases, which are linked at the person-level using
25 114 a coded version of the Ontario health insurance number. Prescription drug claims will be identified
26 115 using the Ontario Drug Benefit Database, which contains comprehensive records of prescriptions
27 116 dispensed to all Ontarians aged 65 years or older. The Canadian Institute for Health Information
28 117 (CIHI) Discharge Abstract Database captures diagnostic and procedural information about hospital
29 118 admissions. The Ontario Health Insurance Plan Registered Persons Database contains
30 119 demographic and mortality data. OHIP physician claims data will be used to identify physicians'
31 120 services. Researchers routinely use these databases to study the clinical consequences of drug-drug
32 121 interactions.^{34 35} International Classification of Diseases, 9th Revision, Clinical Modification
33 122 (ICD-9-CM) codes and International Classification of Diseases, 10th Revision, Clinical
34 123 Modification (ICD-10-CM) codes will be used to capture the clinical diagnoses associated with
35 124 healthcare encounters (see **Table 1&Table 2**). The planned start and end dates for the study are
36 125 November 1, 2021, and December 31, 2022, respectively.

37
38
39
40 126 **Study Population**

41
42 127 Ontario residents aged 66 years or older who are newly dispensed a DOAC (dabigatran,
43 128 rivaroxaban, edoxaban, apixaban, or betrixaban) from 1 January 2009 to 31 March 2020 will be
44 129 included. As prescription drug information is available for all adults from their 65th birthday in
45 130 Ontario, including individuals aged 66 years or older will allow for a 1-year lookback period for
46 131 existing medications. We will exclude patients with a missing or invalid provincial health
47 132 insurance number, missing age or sex, and prescription for multiple DOACs at entry. Patients will
48 133 be censored upon death, hospitalization for bleeding or thrombosis, discontinuation of DOAC,
49 134 switch to other than the entry DOAC, loss of health insurance, or the end of the study period (31
50 135 March 2020), whichever occurs first. A study flow diagram is provided in Figure 1.

51
52
53
54 136 **Patient and public involvement**

137 No patient involved.

138 Main Exposures

139 We will create a DOAC cohort (the control cohort) and a DOAC-PPI co-therapy cohort (the
140 exposure cohort). Drug exposure with doses will be determined from records of dispensation.
141 Exposure to DOACs and PPIs will be treated as time-varying variables. The drug exposure period
142 will be defined according to the combination of the date the prescription is filled and the
143 prescription duration (days supplied).

144 We will identify a period of continuous DOAC use for each patient, beginning with the first
145 pharmacy claim for a DOAC following the patient's 66th birthday (index date). Our definition of
146 continuous use is a subsequent prescription within 1.5 times the days supplied of the previous
147 DOAC prescription, using a minimum grace period of 30 days. The risk of DOAC-related
148 bleeding, thromboembolic events, or death will be captured only while patients are taking the index
149 DOAC. Thus, all study analyses will be restricted to periods of anticoagulant treatment during
150 follow-up, defined as the interval from the date the prescription was filled through 1 day after the
151 end of the days of supply, representing approximately two half-lives of the DOACs.

152 PPI co-therapy will be defined as the period during which gastroprotective effects are most
153 plausible, defined as the interval from filling the prescription (or index date) through the end of
154 the dispensed days of supply. No co-therapy will be defined as person-days with no filled PPI
155 prescription during the observational window.

156 Main outcomes

157 The primary outcome will be a composite of clinically relevant bleeding, thrombotic events, or all-
158 cause death. The diagnosis and procedure codes used to define the outcomes can be found in Table
159 2. Thrombotic events are defined as any thromboembolic event, including myocardial infarction
160 (MI), systemic embolism, ischemic stroke, deep vein thrombosis (DVT), and pulmonary embolism
161 (PE) as captured in hospital discharge abstracts (CIHI-DAD) or emergency department records
162 (NACRS). Clinically relevant bleeding is defined as hospitalization with a most responsible
163 diagnosis, or an emergency department visit with a primary diagnosis of any bleeding. Secondary
164 outcomes include the individual members of the composite primary outcome measure, emergency
165 department visits for the primary outcome. And hospitalization for the primary outcome.
166 Outcomes will be measured through the records for the hospitalizations and emergency visits
167 registered in the accordingly databases after the index date.

168 Sample size

169 We will include up to 26 covariates in the final multivariable Poisson regression models and a
170 minimum of 520 patients (26 covariates \times 20) with at least one of the components of the composite
171 outcome (i.e., clinically relevant bleeding, thromboembolic events, or death).³⁶ To our knowledge,
172 there have been no studies examining rates of the composite outcome of clinically relevant
173 bleeding, thromboembolic events, or death for patients taking DOACs precisely as we have
174 defined them here. However, the sample size is feasible. According to a recently published ICES
175 population-based study, 128,273 patients (average 14,252 annually) were initiated anticoagulation

with a DOAC from 2009 to 2017, and 10.5% was reported for the composite outcome (i.e., clinically relevant bleedings, thromboembolic events, and death).³⁷ If the percentage of co-therapy with PPIs is around 35% (264,447 person-years/ 754,389 person-years as reported by Ray et al.),⁷ the patients in the co-therapy cohort can reach 5000 annually in ICES databases. During the 10-year observational windows, there should be around 5,250 patients with at least one component event of the composite outcome. Although it will be more than enough to fulfill our target sample size, we will still include any case eligible to perform the final analysis.

Covariates

The potential confounders include patient demographics [age at cohort entry date, sex, urban/rural (RPDB rural variable) at cohort entry, and socioeconomic status (income quintiles: census-based median neighborhood [Dissemination Area] income quintile) at cohort entry date], indications [AF, thromboembolism, valve replacement/repair comorbidities, hip or knee replacement], Charlson Comorbidity Index at entry date, comorbidities (myocardial infarction, congestive heart failure, peripheral vascular disease, ischemic stroke, transient ischemic attack, dementia, chronic pulmonary disease, anemia, kidney diseases, and hepatic diseases), components of HAS-B₂ED score at cohort entry date (hypertension, abnormal kidney or liver function, stroke, bleeding history, and alcohol use)], CHA₂ DS₂-VASc Score for AF stroke risk at cohort entry date, and the medications relevant to the outcomes (warfarin (yes/no) within 100 days preceding the index date, former PPIs co-therapy consisted of person-days for patients who filled a PPI prescription in the past year, but whose days of supply ended and, thus, should not benefit from co-therapy.

The potential mediators of the proposed covariates during the following-up period include prescription aspirin (time-varying covariable), antiplatelet agents (time-varying covariable), nonsteroidal anti-inflammatory drugs (time-varying covariable), statins (yes/no), antimicrobials (yes/no), histamine H₂ receptor antagonists (cimetidine, famotidine, nizatidine, sucralfate, and ranitidine) (yes/no), and selective serotonin receptor inhibitors (yes/no). Detailed information on covariates is provided in **Table 2**.

Bias

To control for confounding, we will include covariables mentioned above in the model to adjust the results. Furthermore, time-varying exposures will help address potential time-varying confounding.³⁸ For instance, the doses of our primary exposures (DOACS and PPIs) and prescription of other drugs that may affect outcome risk (e.g., NSAIDs and antiplatelet agents) will be captured in a time-varying fashion on a day-to-day basis, and time-dependent Poisson regression models will be used. In addition, any missing data will be dealt with by multiple imputation should observations be missing in more than 10% of cases.³⁹

Data collection

The lookback windows include 1) 365 days for defining new DOAC use, 2) 100 days for other related drugs, 3) 180 days to 3 years for disease comorbidities and derived indices, and 4) as per the diagnosis dates in ICES-derive chronic disease cohorts.

Baseline data collection will include age at cohort entry, sex, key medical comorbidities (see Table 2), previous GI bleeding history, indications for DOAC, the name of DOAC and PPIs, the first prescription date of DOAC (index date), information for covariates, patients who transfer to other DOAC during the observational window, and the type and date of each outcome.

Data analysis

As this is a population-based study, we will include all eligible Ontario residents. We will compare baseline characteristics of exposures and controls using standardized differences. We will compute a set of stabilized inverse probability of treatment (IPT) weight to account for differences in the baseline characteristics (Table 2) between the two cohorts.⁴⁰ First, the IPT weights will be obtained by fitting a logistic regression model with the primary outcome and the DOACs and PPIs co-therapy as independent variables. Next, we will apply IPT weights and assessed balance between the two cohorts by calculating weighted standardized differences, which express the difference of means or prevalence between the two cohorts as a proportion of the pooled standard deviation (SD), with standardized differences above 0.10 considered potentially meaningful. The time-dependent Poisson regression model will then be used to estimate the adjusted incidence of the target outcomes according to both exposure cohort and control cohort with all available covariates using the weighted sample⁴¹ and IPT weight adjusted incidence rate ratios (IRR) and 95% confidence intervals (CI) will be obtained. The criterion for statistical significance will be set at $\alpha = 0.05$. All statistical analyses will be performed at ICES using SAS version 9.4 (SAS Institute).

Sensitivity analysis will be performed 1) by excluding patients who did not maintain their original DOAC use assignments during their follow-up, and 2) by excluding patients who re-entered the cohort. Subgroup analysis will be performed according to the different DOACs, PPIs, and indications, respectively, sample size permitting.

ETHICS AND DISSEMINATION

This research is exempt from REB review as the data used in the project is authorized under section 45 of Ontario's Personal Health Information Protection Act. The data will be analysed at ICES (www.ices.on.ca) in linked, anonymized form. Upon completion, the results of this population-based study will be submitted to a peer-reviewed biomedical journal for publication and presented at several conferences.

Collaborators Not applicable.

Author Contributions AH and MW obtained the funding and developed the study idea. MW, AH and MP designed the study. MW obtained data permissions and research ethics approvals. LT, DS, and LM contributed to the study design, methodology and analysis plan. AH and DS provided clinical guidance, AH developed the outcome data sets and MP provided expertise in large administrative health databases housed at ICES in designing the study. MW drafted the initial manuscript, and all authors critiqued the protocol manuscript. All authors approve the attached manuscript for publication and are accountable for all aspects of the work.

Declaration of Conflicting Interests The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding This is a sub study of a randomized clinical trial which is funded by the Canadian Institutes of Health Research (CIHR) under Award Number 365834 to Dr. Anne Holbrook and in part by a studentship award to Mei Wang from Father Sean O’Sullivan Research Centre, St. Joseph’s Healthcare Hamilton (no award number) and a CanVECTOR Research Start-Up Award (no award number).

Data statement Not applicable.

Disclaimer The conclusions, opinions and statements expressed herein are those of the authors and do not necessarily reflect those of the funding or data sources; no endorsement is intended or should be inferred.

Competing interests None declared.

Patient consent for publication Not required.

Provenance and peer review Not commissioned, externally peer reviewed.

References

1. Chaudhary R, Sharma T, Garg J, et al. Direct oral anticoagulants: a review on the current role and scope of reversal agents. *J Thromb Thrombolysis* 2020;49(2):271-86. doi: 10.1007/s11239-019-01954-2 [published Online First: 2019/09/13]
2. Sterne JA, Boudalia PN, Bryden PA, et al. Oral anticoagulants for primary prevention, treatment and secondary prevention of venous thromboembolic disease, and for prevention of stroke in atrial fibrillation: systematic review, network meta-analysis and cost-effectiveness analysis. *Health Technol Assess* 2017;21(9):1-386. doi: 10.3310/hta21090 [published Online First: 2017/03/11]
3. Savarino V, Marabotto E, Zentilin P, et al. Proton pump inhibitors: use and misuse in the clinical setting. *Expert Rev Clin Pharmacol* 2018;11(11):1123-34. doi: 10.1080/17512433.2018.1531703 [published Online First: 2018/10/09]
4. Burnett AE, Mahan CE, Vazquez SR, et al. Guidance for the practical management of the direct oral anticoagulants (DOACs) in VTE treatment. *Journal of thrombosis and thrombolysis* 2016;41(1):206-32. doi: 10.1007/s11239-015-1310-7
5. Chan EW, Lau WC, Leung WK, et al. Prevention of Dabigatran-Related Gastrointestinal Bleeding With Gastroprotective Agents: A Population-Based Study. *Gastroenterology* 2015;149(3):586-95.e3. doi: <https://dx.doi.org/10.1053/j.gastro.2015.05.002>
6. O’Dea D, Whetteckey J, Ting N. A Prospective, Randomized, Open-Label Study to Evaluate Two Management Strategies for Gastrointestinal Symptoms in Patients Newly on Treatment with Dabigatran. *Cardiol* 2016;5(2):187-201.
7. Ray WA, Chung CP, Murray KT, et al. Association of Oral Anticoagulants and Proton Pump Inhibitor Cotherapy With Hospitalization for Upper Gastrointestinal Tract Bleeding. *Jama* 2018;320(21):2221-30. doi: <https://dx.doi.org/10.1001/jama.2018.17242>

- 291 8. Tang B, Xiao S. Logistic regression analysis of risk factors for upper gastrointestinal bleeding
292 induced by PCI in combination with double antiplatelet therapy for STEMI patients. *Acta*
293 *Gastroenterol Belg* 2020;83(2):245-48.
- 294 9. Weitz JI, Semchuk W, Turpie AG, et al. Trends in Prescribing Oral Anticoagulants in Canada,
295 2008-2014. *Clin Ther* 2015;37(11):2506-14.e4. doi: 10.1016/j.clinthera.2015.09.008
296 [published Online First: 2015/10/21]
- 297 10. Perreault S, de Denus S, White-Guay B, et al. Oral Anticoagulant Prescription Trends,
298 Profile Use, and Determinants of Adherence in Patients with Atrial Fibrillation.
299 *Pharmacotherapy* 2020;40(1):40-54. doi: 10.1002/phar.2350 [published Online First:
300 2019/11/24]
- 301 11. Verma A, Cairns JA, Mitchell LB, et al. 2014 focused update of the Canadian Cardiovascular
302 Society Guidelines for the management of atrial fibrillation. *Can J Cardiol*
303 2014;30(10):1114-30.
- 304 12. Summary Safety Review - Proton Pump Inhibitors (PPIs) - Assessing the risk of a type of
305 skin reaction [Subacute Cutaneous Lupus Erythematosus (SCLE)] 2017 [updated
306 December 7, 2017. Available from: [https://www.canada.ca/en/health-](https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html)
307 [canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-](https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html)
308 [inhibitors-assessing-risk-type-skin-reaction.html](https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html) accessed September 10 2020.
- 309 13. Lee K, Jani T, Cheng R, et al. Prescribed Drug Spending in Canada, 2019: A Focus on Public
310 Drug Programs. *Healthcare quarterly (Toronto, Ont)* 2020;23(1):10-12.
- 311 14. Wang M, Zeraatkar D, Obeda M, et al. Drug–drug interactions with warfarin: A systematic
312 review and meta-analysis. *Br J Clin Pharmacol* 2021;n/a(n/a) doi:
313 <https://doi.org/10.1111/bcp.14833>
- 314 15. Bang CS, Joo MK, Kim BW, et al. The Role of Acid Suppressants in the Prevention of
315 Anticoagulant-Related Gastrointestinal Bleeding: A Systematic Review and Meta-
316 Analysis. *Gut and liver* 2020;14(1):57-66. doi: <https://dx.doi.org/10.5009/gnl19009>
- 317 16. Nantsupawat T, Soontrapa S, Nantsupawat N, et al. Risk factors and prevention of
318 dabigatran-related gastrointestinal bleeding in patients with atrial fibrillation. *J*
319 2018;34(1):30-35. doi: <https://dx.doi.org/10.1002/joa3.12015>
- 320 17. Moayyedi P, Eikelboom JW, Bosch J, et al. Pantoprazole to Prevent Gastroduodenal Events
321 in Patients Receiving Rivaroxaban and/or Aspirin in a Randomized, Double-Blind,
322 Placebo-Controlled Trial. *Gastroenterology* 2019;157(2):403-12.e5. doi:
323 <https://dx.doi.org/10.1053/j.gastro.2019.04.041>
- 324 18. Bolek T, Samoš M, Stančiaková L, et al. The Impact of Proton Pump Inhibition on
325 Dabigatran Levels in Patients With Atrial Fibrillation. *Am J Ther* 2019;26(3):e308-e13.
326 doi: 10.1097/mjt.0000000000000599 [published Online First: 2017/04/30]
- 327 19. Bolek T, Samos M, Skornova I, et al. Does proton pump inhibition change the on-treatment
328 anti-Xa activity in xabans-treated patients with atrial fibrillation? A pilot study. *J Thromb*
329 *Thrombolysis* 2019;47(1):140-45. doi: <https://dx.doi.org/10.1007/s11239-018-1748-5>
- 330 20. Moayyedi P, Eikelboom JW, Bosch J, et al. Safety of Proton Pump Inhibitors Based on a
331 Large, Multi-Year, Randomized Trial of Patients Receiving Rivaroxaban or Aspirin.
332 *Gastroenterology* 2019;157(3):682-91.e2. doi:
333 <https://dx.doi.org/10.1053/j.gastro.2019.05.056>
- 334 21. Moore KT, Plotnikov AN, Thyssen A, et al. Effect of multiple doses of omeprazole on the
335 pharmacokinetics, pharmacodynamics, and safety of a single dose of rivaroxaban. *J*

1
2
3 336 *Cardiovasc Pharmacol* 2011;58(6):581-8. doi:
4 337 <https://dx.doi.org/10.1097/FJC.0b013e31822f6c2b>
5
6 338 22. Gilard M, Arnaud B, Cornily JC, et al. Influence of omeprazole on the antiplatelet action of
7 339 clopidogrel associated with aspirin: the randomized, double-blind OCLA (Omeprazole
8 340 CLOpidogrel Aspirin) study. *J Am Coll Cardiol* 2008;51(3):256-60. doi:
9 341 10.1016/j.jacc.2007.06.064 [published Online First: 2008/01/22]
10 342 23. O'Donoghue ML, Braunwald E, Antman EM, et al. Pharmacodynamic effect and clinical
11 343 efficacy of clopidogrel and prasugrel with or without a proton-pump inhibitor: an analysis
12 344 of two randomised trials. *Lancet* 2009;374(9694):989-97. doi: 10.1016/s0140-
13 345 6736(09)61525-7 [published Online First: 2009/09/04]
14 346 24. Muldowney JA, 3rd, Bengtson CD. Combination therapy with clopidogrel and proton-pump
15 347 inhibitors. *Lancet* 2010;375(9708):27-8; author reply 28-9. doi: 10.1016/s0140-
16 348 6736(09)62183-8 [published Online First: 2010/01/30]
17 349 25. Hutchaleelaha A, Lambing J, Romanko K, et al. Effect of a Proton Pump Inhibitor or an
18 350 Antacid on Pharmacokinetics of Betrixaban, a Novel Oral Factor Xa Inhibitor: 1389928.
19 351 *Clinical Pharmacology in Drug Development* 2012;1(4)
20 352 26. Giugliano RP, Ruff CT, Braunwald E, et al. Edoxaban versus warfarin in patients with atrial
21 353 fibrillation. *N Engl J Med* 2013;369(22):2093-104.
22 354 27. Investigators H-V. Edoxaban versus warfarin for the treatment of symptomatic venous
23 355 thromboembolism. *N Engl J Med* 2013;369:1406-15.
24 356 28. Upreti VV, Song Y, Wang J, et al. Effect of famotidine on the pharmacokinetics of apixaban,
25 357 an oral direct factor Xa inhibitor. *Clinical pharmacology: advances and applications*
26 358 2013;5:59.
27 359 29. Ogawa R, Echizen H. Drug-drug interaction profiles of proton pump inhibitors. *Clin*
28 360 *Pharmacokinet* 2010;49(8):509-33. doi: 10.2165/11531320-000000000-00000 [published
29 361 Online First: 2010/07/09]
30 362 30. Liesenfeld KH, Lehr T, Dansirikul C, et al. Population pharmacokinetic analysis of the oral
31 363 thrombin inhibitor dabigatran etexilate in patients with non-valvular atrial fibrillation
32 364 from the RE-LY trial. *J Thromb Haemost* 2011;9(11):2168-75. doi:
33 365 <https://dx.doi.org/10.1111/j.1538-7836.2011.04498.x>
34 366 31. Schnierer M, Samos M, Bolek T, et al. The Effect of Proton Pump Inhibitor Withdrawal on
35 367 Dabigatran Etexilate Plasma Levels in Patients With Atrial Fibrillation: A Washout
36 368 Study. *J Cardiovasc Pharmacol* 2020;75(4):333-35. doi:
37 369 <https://dx.doi.org/10.1097/FJC.0000000000000791>
38 370 32. Lee SR, Kwon S, Choi EK, et al. Proton Pump Inhibitor Co-Therapy in Patients with Atrial
39 371 Fibrillation Treated with Oral Anticoagulants and a Prior History of Upper
40 372 Gastrointestinal Tract Bleeding. *Cardiovasc Drugs Ther* 2021 doi: 10.1007/s10557-021-
41 373 07170-6 [published Online First: 2021/03/18]
42 374 33. Lee H-J, Kim H-K, Kim B-S, et al. Risk of upper gastrointestinal bleeding in patients on oral
43 375 anticoagulant and proton pump inhibitor co-therapy. *PLoS ONE* 2021;16(6):e0253310-
44 376 e10. doi: 10.1371/journal.pone.0253310
45 377 34. Wright AJ, Gomes T, Mamdani MM, et al. The risk of hypotension following co-prescription
46 378 of macrolide antibiotics and calcium-channel blockers. *Cmaj* 2011;183(3):303-7. doi:
47 379 10.1503/cmaj.100702 [published Online First: 2011/01/19]

35. Juurlink DN, Gomes T, Ko DT, et al. A population-based study of the drug interaction between proton pump inhibitors and clopidogrel. *Cmaj* 2009;180(7):713-8. doi: 10.1503/cmaj.082001 [published Online First: 2009/01/30]
36. Ogundimu EO, Altman DG, Collins GS. Adequate sample size for developing prediction models is not simply related to events per variable. *J Clin Epidemiol* 2016;76:175-82. doi: 10.1016/j.jclinepi.2016.02.031 [published Online First: 2016/03/12]
37. Durand M, Schnitzer ME, Pang M, et al. Comparative effectiveness and safety of direct oral anticoagulants versus vitamin K antagonists in nonvalvular atrial fibrillation: a Canadian multicentre observational cohort study. *CMAJ Open* 2020;8(4):E877-e86. doi: 10.9778/cmajo.20200055 [published Online First: 2020/12/24]
38. Mansournia MA, Etminan M, Danaei G, et al. Handling time varying confounding in observational research. *Bmj* 2017;359:j4587. doi: 10.1136/bmj.j4587 [published Online First: 2017/10/19]
39. Pedersen AB, Mikkelsen EM, Cronin-Fenton D, et al. Missing data and multiple imputation in clinical epidemiological research. *Clin Epidemiol* 2017;9:157-66. doi: 10.2147/clep.S129785 [published Online First: 2017/03/30]
40. Austin PC, Stuart EA. Moving towards best practice when using inverse probability of treatment weighting (IPTW) using the propensity score to estimate causal treatment effects in observational studies. *Stat Med* 2015;34(28):3661-79. doi: 10.1002/sim.6607 [published Online First: 2015/08/05]
41. Austin PC. An Introduction to Propensity Score Methods for Reducing the Effects of Confounding in Observational Studies. *Multivariate Behav Res* 2011;46(3):399-424. doi: 10.1080/00273171.2011.568786 [published Online First: 2011/08/06]

Table 1. Description of the Ontario databases to be used in the study

Name of database	Database description
1. Ontario Drug Benefit (ODB) Plan Database	Records of dispensed outpatient prescriptions paid for by the provincial government. The ODB formulary includes a wide range of routine outpatient medications, including the prescription drugs of interest to this study.
2. Canadian Institute for Health Information–Discharge Abstract Database (CIHI-DAD)	The CIHI-DAD collects diagnostic, and procedural variables for each admission to a hospital in Ontario. Coding of primary and secondary diagnoses and inpatient procedures uses the 10th version of the Canadian Modified International Classification of Diseases (ICD-10 CA) for all diagnoses after 2002.
3. Canadian Institute for Health Information–National Ambulatory Care Reporting System (CIHI-NACRS)	The NACRS is compiled by the Canadian Institute for Health Information (CIHI) and contains administrative, clinical (diagnoses and procedures), demographic, and administrative information for all patient visits made to hospital- and community-based ambulatory care centers (emergency departments, day surgery units, hemodialysis units, and cancer care clinics) in Ontario.
4. Ontario Health Insurance Plan (OHIP) Claims History Database	Claims for physician services paid for by the provincial government. It includes a fee code for each service and a diagnosis code for the condition representing the main reason for each service
5. OHIP Registered Persons Database (RPDB)	The RPDB captures information regarding Ontarians’ sex, date of birth, postal code, and vital status.
6. Ontario Mental Health Reporting System (OMHRS)	The OMHRS analyzes and reports on information submitted to CIHI about all individuals receiving hospital-based adult mental health services in Ontario.
7. Same Day Surgery Database (SDS)	The SDS summarizes information about same day surgery encounters. Each record contains the procedures undergone as well as clinical information about the individual. The clinical information follows the ICD coding scheme (ICD-9 before 2002 and ICD-10 from 2002 onwards).
8. Corporate Provider Database (CPDB)	This database contains addresses, registration and program eligibility information (e.g.,

	contracts such as primary care group) about individual health care providers, such as physicians.
9. ICES Physician Database (IPDB)	The IPDB contains information about physicians practicing in Ontario. The IPDB includes demographic information about each physician (i.e., age, sex), practice location, physician specialty, services provided, where each physician was trained and year of graduation.
10. Ontario Census Area Profiles (CENSUS)	Ontario-level demographic and statistical data on individuals and households.
11. Postal Code Conversion File (PCCF)	Links postal codes with Census-based area-level variables such as neighborhood income quintiles and urban/rural residence.
12. Ontario Asthma Database (ASTHMA)	ASTHMA contains all Ontario asthma patients identified since 1991.
13. Ontario Congestive Heart Failure Database (CHF)	The CHF database contains all Ontarians with CHF identified since 1991.
14. Ontario Chronic Obstructive Pulmonary Disease Database (COPD)	COPD contains all Ontario COPD patients identified since 1991.
15. Ontario Hypertension Database (HYPER)	HYPER contains all Ontario hypertension patients identified since 1991.
16. Ontario Dementia Database (DEMENTIA)	The Ontario Dementia Dataset is comprised of all Ontario persons who have been identified with Alzheimer's and related dementias in ICES data holdings between the ages of 40 to 110 years.
17. Ontario Crohn's and Colitis Cohort Database (OCCC)	OCCC includes all Ontario patients who were identified with Crohn's disease or Ulcerative Colitis from the ages of 0-105 years.
18. Ontario Diabetes Database (ODD)	The ODD is a population-based disease registry constructed using a validated algorithm based on hospitalizations and physician visits to identify individuals with physician-diagnosed diabetes mellitus in Ontario.
19. Ontario Rheumatoid Arthritis Database (ORAD)	ORAD contains data on all Ontario rheumatoid arthritis patients identified since 1991.
20. Ontario Cancer Registry (OCR)	Patient demographics, cancer diagnosis details, and death information.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

Table 2. Variables and their related data sources with codes (if applicable).

Variables	Data source	Codes or specified
Demographics		
Age & sex	RPDB and CENSUS	Not applicable
Income quintile	Statistics Canada and CENSUS	Not applicable
Rural residence	Census Postal Code Conversion File and CENSUS	Not applicable
Indications		
Atrial fibrillation (AF)	NACRS and DAD	ICD10 I48.0, I48.1, I48.2, I48.3, I48.4, I48.9
Thromboembolism	DAD, NACRS, and OHIP	DAD/NACRS ICD10: I26.0, I26.9, I80.1, I80.2, I80.3, I80.8, I80.9, I82.8, I82.9 OHIP Diagnosis Codes: 415, 451
Valve Replacement/Repair	DAD	DAD CCI : <ul style="list-style-type: none">• 1HU90 Mitral valve replacement• 1HU80 Mitral valve repair• 1HV90 Aortic valve replacement• 1HV80 Aortic valve repair• 1HT90 Pulmonary valve replacement• 1HT80 Pulmonary valve repair• 1HS90 Tricuspid valve replacement• 1HS80 Tricuspid valve repair• 1HW Valve annulus surgery
Hip or Knee Replacement	DAD	DAD CCI: <ul style="list-style-type: none">• 1VA53 implantation of internal device, hip joint• 1VG53 implantation of internal device; knee joint.
Exposures on a day-to-day basis during the following-up period		
Direct oral anticoagulants (DOACs)	ODB	Rivaroxaban, dabigatran, edoxaban, and apixaban
The proton pump inhibitors (PPIs)	ODB	Omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole, and dextansoprazole.
Comorbidities		

<p>1. Chronic kidney disease (CKD) in the 3 years prior to cohort entry</p>	<p>CIHI-DAD and OHIP</p>	<p>CIHI-DAD:</p> <ul style="list-style-type: none"> • I12.0 Hypertensive renal disease with renal failure • I13.1 Hypertensive heart and renal disease with renal failure • N03.X Chronic nephritic syndrome • N05.X Unspecified nephritic syndrome • N18.X Chronic renal failure • N19.X Unspecified renal failure • N25.X Disorders resulting from impaired renal tubular function. <p>OHIP:</p> <ul style="list-style-type: none"> • 403 Hypertensive renal disease • 585 Chronic renal failure;
<p>2. End stage renal disease (ESRD) in the 180 days prior to cohort entry</p>	<p>DAD/NACRS</p>	<p>DAD/NACRS CCI</p> <ul style="list-style-type: none"> • 1PZ21HQBR • 1PZ21HPD4 • 1PZ21HQBS. • 1PC85LAXXJ transplant; kidney using living donor (allogenic or syngeneic) kidney • 1PC85LAXXK transplant; kidney using cadaver kidney. <p>OHIP Fee Codes</p> <ul style="list-style-type: none"> • R849 Dialysis - Hemodialysis - Initial & acute. • G323 Dialysis - Hemodialysis - Acute, repeat (max 3) • G325 Dialysis - Hemodialysis - Medical component (incl in unit fee) • G32 Dialysis - Chronic, contin. hemodialysis or hemofiltration each • G86 Chronic hemodialysis hospital location

		<ul style="list-style-type: none">• G862 Hospital self-care Chronic hemodialysis• G863 Chronic hemodialysis IHF location• G86 Chronic Home hemodialysis• G866 Intermittent hemodialysis treatment centre• G330 Peritoneal dialysis - Acute (up to 48 hrs)• G331 Peritoneal dialysis - Repeat acute (up to 48 hrs) max. 3• G332 Peritoneal dialysis - Chronic (up to 48 hrs) [NOT AFTER JAN 2008]• G861 Chronic peritoneal dialysis hospital location• G864 Chronic Home peritoneal dialysis• G082 Continuous venovenous hemodiafiltration• G083 Continuous venovenous haemodialysis• G085 Continuous venovenous hemofiltration• G090 Venovenous slow continuous ultrafiltration• G091 Continuous arteriovenous haemodialysis• G092 Continuous arteriovenous hemodiafiltration• G093 Hemodiafiltration - Contin. Init & Acute (repeatx3)• G094 Hemodiafiltration - Contin. Chronic• G095 Slow Continuous Ultra Filtration - Initial & Acute (repeat)
--	--	--

		<ul style="list-style-type: none"> • G096 Slow Continuous Ultra Filtration – Chronic • G294 Arteriovenous slow continuous ultrafiltration init and acute • G295 Continuous arteriovenous hemofiltration initial and acute • G333 Home/self-care dialysis • H540 Renal dialysis (outpatient).
3. Liver disease in the 3 years prior to cohort entry	CIHI-DAD and OHIP	CIHI-DAD: B18.x, K70.x, K71.1, K71.3–K71.5, K71.7, K72.x–K74.x, K76.0, K76.2–K76.9, Z94.4 liver disease. OHIP Diagnosis Code: 571 liver disease.
4. Alcoholism in the 3 years prior to cohort entry	CIHI and OHIP	CIHI: F102, G312, G621, G721, I426, K292, K860, Z8640. OHIP Diagnosis Code: 303
5. Dementia in the 3 years prior to cohort entry	Ontario Dementia Database (DEMENTIA)	Not applicable
6. Diabetes in the 3 years prior to cohort entry in the 3 years prior to cohort entry	Ontario Diabetes Dataset (ODD)	Not applicable
7. Hypertension: Ontario Hypertension Database in the 3 years prior to cohort entry	Ontario Hypertension dataset (HYPER)	Not applicable
8. Congestive heart failure (CHF) in the 3 years prior to cohort entry	Congestive Heart Failure (CHF)	Not applicable
9. Active Cancer	OCR, OHIP	Diagnosis in OCR within 1 year OR any of the following OHIP fee codes within 180 days: chemotherapy: G281, G339, G345, G359, G381, G382, G388; and radiation: X310, X311, X312, X313.
10. CHADS ₂ -VASc Score for Atrial Fibrillation Stroke Risk at cohort entry date	As specified for each code related.	<ol style="list-style-type: none"> 1. Congestive heart failure (CHF database): 1 point 2. Hypertension (HYPER database): 1 point 3. Age 65-74 years: 1 point and age 75 years or older: 2 points

		<p>4. Diabetes Mellitus (Ontario Diabetes Database): 1 point</p> <p>5. Previous thromboembolism (codes as following in the preceding 3 years): Any or more than 1 of these codes leads to 2 points. Total score can be 0 or 2.</p> <p>6. Vascular disease (CAD or PVD: CIHI DAD/NACRS: I25x, I70x, I71x, I73x, I74x, K55.1. OHIP: 412, 451 in the preceding 3 years): 1 point</p> <p>7. Female Sex: 1 point</p>
<p>11. HAS-BLED Score at cohort entry date: HAS-B_ED is HAS-BLED without the variable INR (with factors as defined above in the 3-y preceding entry or according to the definition of the ICES-derived cohort)</p>	<p>As specified for each code related.</p>	<p>1. Hypertension (HYPER database): 1 point</p> <p>2. Abnormal renal function (codes for CKD and ESRD) described above): 1 point</p> <p>3. Abnormal liver function (codes described above): 1 point</p> <p>4. Stroke or TIA (CIHI-DAD: I63.0, I63.1, I63.2, I63.3, I63.4, I63.5, I63.6, I63.8, I63.9, I64, I65, I65.0, I65.1, I65.2, I65.3, I65.8, I65.9, I66, I66.0, I66.1, I66.2, I66.3, I66.4, I66.8, I66.9 cerebral infarction (ischemic stroke); G45.0, G45.1, G45.2, G45.3, G45.8, G45.9 transient ischemic attack (TIA)): 1 point</p> <p>5. Bleeding history (bleeding codes described as following in outcome section): 1 point</p> <p>6. Elderly: Age over 65: 1 point</p> <p>7. Alcoholism (codes described above): 1 point</p>
<p>12. Charlson Comorbidity Index (using a 3-year lookback).</p>	<p>DAD</p>	<p>Derived using an ICES-developed macro</p>
Potential drug interactions – dispensed in the past 3 months prior to cohort entry		
<p>1. Warfarin: yes/no</p>	<p>ODB</p>	<p>Not applicable</p>
<p>1. Former PPIs co-therapy: yes/no</p>	<p>ODB</p>	<p>Not applicable</p>

Potential drug interactions – dispensed during the following up period		
1. Non-steroidal anti-inflammatory drugs*: day-to-day basis	ODB	ibuprofen, naproxen, etodolac, nabumetone, indomethacin, rofecoxib, celecoxib, etoricoxib, valdecoxib, and meloxicam
2. Selective serotonin reuptake inhibitors (SSRI): yes/no.	ODB	citalopram, escitalopram, fluoxetine, paroxetine, sertraline, duloxetine, mirtazapine, trazodone, amitriptyline, nortriptyline, imipramine, and bupropion
3. Amiodarone	ODB	Not applicable
4. Statins: yes/no.	ODB	Atorvastatin, Fluvastatin, Pravastatin, or Simvastatin
5. Aspirin*: day-to-day basis	ODB	Not applicable
6. Antiplatelets: day-to-day basis	ODB	clopidogrel, ticagrelor, dipyridamole, ticlopidine, or prasugrel
7. Antimicrobials: yes/no.	ODB	Fluconazole, Cephalexin, Cefuroxime, Cotrimoxazole, trimethoprim, Macrolides, Azithromycin, Clarithromycin, Macrolides, Ocular Antibiotics, Amoxicillin, Ampicillin, Penicillins, Gatifloxacin, Ciprofloxacin, Norfloxacin, Quinolones, or Levofloxacin
Outcomes		
Bleeding events	CIHI-DAD and CIHI-NACRS	ICD10 1. Intracranial haemorrhage: I60, I61, I62.0, I62.1, I62.9, S06.400, S06.401, S06.410, S06.411, S06.420, S06.421, S06.430, S06.431, S06.440, S06.441, S06.490, S06.491, S06.500, S06.501, S06.510, S06.511, S06.520, S06.521, S06.530, S06.531, S06.540, S06.541, S06.590, S06.591, S06.600, S06.601, S06.610, S06.611, S06.620, S06.621, S06.630, S06.631, S06.640, S06.641, S06.690, S06.691 2. Eye haemorrhage H35.6, H43.1, H45.0, H11.3, H31.3

		<div>3. Bleeding of respiratory system: R04.0, R04.1, R04.2, R04.8, R04.9, J94.2</div> <div>4. Upper GI bleeding: I85.0, I98.20, I98.3, K22.10, K22.12, K22.14, K22.16, K22.6, K25.0, K25.2, K25.4, K25.6, K26.0, K26.2, K26.4, K26.6, K27.0, K27.2, K27.4, K27.6, K28.0, K28.2, K28.4, K28.6, K29.0, K31.80</div> <div>5. Lower GI bleeding and general GI bleeding: K62.5, K55.20, K55.21, K63.80, K92.0, K92.1, K92.2</div> <div>6. Urogenital system bleeding: R31, R310, R311, N02.0, N02.1, N02.2, N02.3, N02.4, N02.5, N02.6, N02.7, N02.8, N02.9, N93.0, N93.8, N93.9, N95.0</div> <div>7. Bleeding of muscular and skeletal systems: M25, M25.00, M25.01, M25.02, M25.03, M25.04, M25.05, M25.06, M25.07, M25.08, M25.09</div> <div>8. Others: K66.1, N42.1, R58, T79.2, K66.1, D68.3</div>
Thromboembolic event	CIHI-DAD and CIHI-NACRS	<div>ICD10</div> <div>1. Cerebral infarction (ischemic stroke): I63.0, I63.1, I63.2, I63.3, I63.4, I63.5, I63.6, I63.8, I63.9, I64, I65, I65.0, I65.1, I65.2, I65.3, I65.8, I65.9, I66, I66.0, I66.1, I66.2, I66.3, I66.4, I66.8, I66.9</div> <div>2. Transient ischemic attack (TIA): G45.0, G45.1, G45.2, G45.3, G45.8, G45.9</div> <div>3. Retinal vascular occlusions: H34.0, H34.1, H34.2, H34.8, H34.9</div>

		<ol style="list-style-type: none"> 4. Myocardial infarction (MI): I21.1, I21.2, I21.3, I21.4, I21.9, I22.0, I22.1, I22.8, I22.9, I23.0, I23.2, I23.3, I23.4, I23.5, I23.6, I23.8, I24.0, I24.1, I24.8, I24.9 5. Pulmonary embolism (PE): I26.0, I26.9 6. Vascular disorders of intestine: K55.0, K55.1, K55.9 7. Systemic embolism: I74.0, I74.1, I74.2, I74.3, I74.4, I74.5, I74.8, I74.9 8. Atherosclerosis: I70.0, I70.1, I70.2, I70.20, I70.21, I70.8, I70.9 9. Nontraumatic ischemic infarction of muscle: M62.2 10. Thrombophlebitis: I80.0, I80.1, I80.2, I80.3, I80.8, I80.9, G08 11. Other venous embolism and thrombosis: I82.0, I82.1, I82.2, I82.3, I82.8, I82.9, I81, I67.6 12. Other peripheral vascular diseases: I73.1, I73.8, I73.9
All cause death	RPDB	Not applicable

Abbreviation: the abbreviation for databases refer to Table 1., CCI for Canadian Classification of Interventions codes.

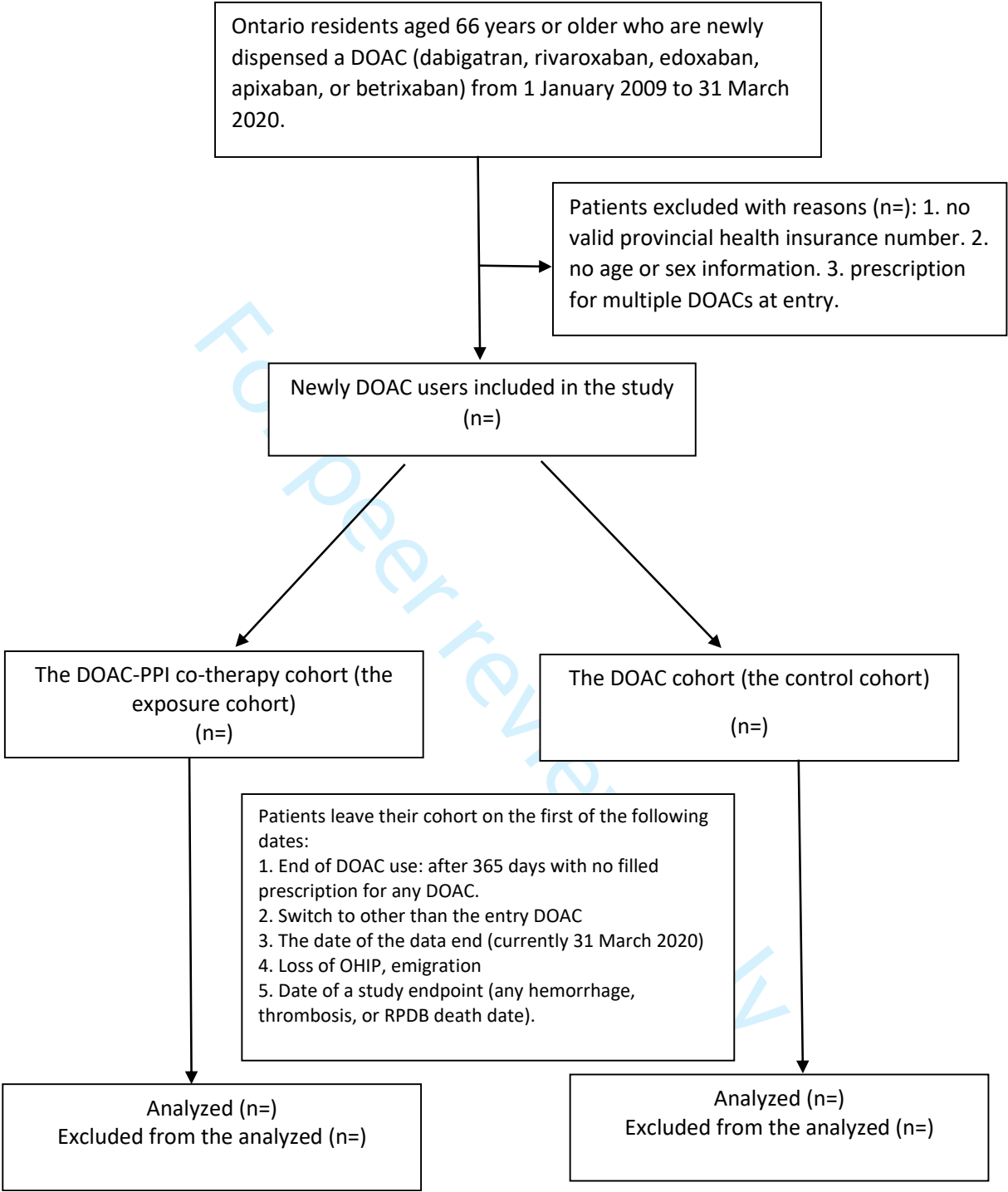


Figure 1. Study flow diagram.

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page / lines
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	1 / 1-2 As it is a protocol, n/a
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-4 / 32-74
Objectives	3	State specific objectives, including any prespecified hypotheses	4 / 74-79
Methods			
Study design	4	Present key elements of study design early in the paper	4 / 81-83
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4 / 84-95
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up (b) For matched studies, give matching criteria and number of exposed and unexposed	4 / 96-105 n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5 / 108-127 & 6 / 153-170
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Table 2
Bias	9	Describe any efforts to address potential sources of bias	6 / 171-178
Study size	10	Explain how the study size was arrived at	5-6 / 138-152
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7 / 179-186
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) If applicable, explain how loss to follow-up was addressed (e) Describe any sensitivity analyses	7 / 187-208
Results			As it is a protocol, n/a
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	

		(b) Give reasons for non-participation at each stage	n/a
		(c) Consider use of a flow diagram	n/a
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	n/a
		(b) Indicate number of participants with missing data for each variable of interest	n/a
		(c) Summarise follow-up time (eg, average and total amount)	n/a
Outcome data	15*	Report numbers of outcome events or summary measures over time	n/a
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	n/a
		(b) Report category boundaries when continuous variables were categorized	n/a
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	n/a
Discussion			
Key results	18	Summarise key results with reference to study objectives	n/a
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	n/a
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	n/a
Generalisability	21	Discuss the generalisability (external validity) of the study results	n/a
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	8 / 234-238

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

BMJ Open

Association of Direct Oral Anticoagulant-Proton Pump Inhibitor Cotherapy with Adverse Outcomes: Protocol for a Population-based Cohort Study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-057991.R2
Article Type:	Protocol
Date Submitted by the Author:	15-Mar-2022
Complete List of Authors:	Wang, Mei; Hamilton, Department of Health Research Methods, Evidence, and Impact Paterson, Michael; Institute for Clinical Evaluative Sciences, Thabane, Lehana; McMaster University, Department of Health Research Methods, Evidence, and Impact Siegal, Deborah; University of Ottawa, Department of Medicine; Ottawa Hospital Research Institute Mbuagbaw, Lawrence; McMaster University, Department of Health Research Methods, Evidence, and Impact (HEI) Targownik, Laura; Mount Sinai Hospital Holbrook, Anne; McMaster University, Clinical Pharmacology & Toxicology; Medicine
Primary Subject Heading:	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology, Pharmacology and therapeutics
Keywords:	Bleeding disorders & coagulopathies < HAEMATOLOGY, Gastroenterology < INTERNAL MEDICINE, Cardiology < INTERNAL MEDICINE, Vascular medicine < INTERNAL MEDICINE

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 Association of Direct Oral Anticoagulant-Proton Pump Inhibitor Cotherapy with Adverse
2 Outcomes: Protocol for a Population-based Cohort Study

3 Running title: Drug interaction between DOACs and PPIs

4 Mei Wang, ^{1, 2} **J. Michael Paterson**^{3, 4} **Lehana Thabane,**^{1, 5, 6} **Deborah Siegal,**^{7, 8} **Lawrence**
5 Mbuagbaw,^{1, 6} **Laura Targownik,**⁹ **Anne Holbrook,** ^{*1, 2, 10}

¹Department of Health Research Methods, Evidence, and Impact (HEI), McMaster University,
1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

²Clinical Pharmacology & Toxicology, St. Joseph's Healthcare Hamilton, 50 Charlton Avenue
East, Hamilton L8N 4A6, ON, Canada.

³ICES, 2075 Bayview Ave, Toronto M4N 3M5, ON, Canada.

⁴Institute of Health Policy, Management and Evaluation, University of Toronto, 21 King's College
Circle, Toronto M5S 3J3, ON, Canada.

⁵The Research Institute of St. Joseph's Hamilton, 50 Charlton Avenue East, Hamilton, ON, L8N
4A6, Canada.⁴Division of Hematology and Thromboembolism, Department of Medicine,
McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

⁶Biostatistics Unit, the Research Institute of St. Joseph's Hamilton, 50 Charlton Avenue East,
Hamilton, ON, L8N 4A6, Canada.⁴Division of Hematology and Thromboembolism, Department
of Medicine, McMaster University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

⁷Division of Hematology, Department of Medicine, University of Ottawa, 501 Smyth Rd Box
201A, Ottawa, ON K1H 8L6, Canada.

⁸Clinical Epidemiology Program, Ottawa Hospital Research Institute, 1053 Carling Ave, Ottawa,
ON K1Y 4E9, Canada

⁹Departmental of Medicine (Gastroenterology and Hepatology), Mount Sinai Hospital, University
of Toronto, 435-500 University Avenue Toronto ON, Canada, M5G 1X5

¹⁰Division of Clinical Pharmacology & Toxicology, Department of Medicine, McMaster
University, 1280 Main Street West, Hamilton L8S 4K1, ON, Canada.

*Corresponding author: Dr. Anne Holbrook.

Tel: (905)522-1155 x 35269. Fax: 905-540-6520. Email: Holbrook@mcmaster.ca, c/o Clinical
Pharmacology & Toxicology, St. Joseph's Healthcare Hamilton, 50 Charlton Avenue E., Hamilton,
ON. Canada L8N 4A6

ABSTRACT

Introduction: Proton pump inhibitors (PPIs) are widely used for secondary prevention of upper gastrointestinal (GI) bleeding. However, there remains controversy about the overall net clinical benefit of PPIs (omeprazole, rabeprazole, pantoprazole, lansoprazole) when co-prescribed with direct oral anticoagulants (DOACs; dabigatran, rivaroxaban, apixaban, edoxaban). Our objective is to explore the risk of clinically relevant events, including bleeding, thromboembolic events, and death, in patients co-prescribed DOACs and PPIs.

Methods and analysis: The protocol describes a retrospective cohort study of all Ontario residents aged 66 years or older with atrial fibrillation and at least one pharmacy dispensation for a DOAC identified using linked administrative healthcare databases covering 2009 to 2020. Ontario Drug Benefit dispensation records will be used to ascertain PPI exposure during DOAC therapy. The primary outcome is a composite of clinically relevant bleeding, thrombotic events, or all-cause death. A minimum of 520 patients in total with at least one of the components of the composite outcome are needed. Poisson regression with a generalized estimating equation model will be used to calculate the adjusted incidence rate difference, incidence rate ratios 95% confidence interval, adjusting for propensity for PPI use using inverse probability of treatment weights.

Ethics and dissemination: This research is exempt from REB review under section 45 of Ontario's Personal Health Information Protection Act. We will report our findings in a peer-reviewed biomedical journal and present them at conferences. The study will provide useful evidence to optimize the co-prescription of DOACs and PPIs in practice.

Keywords: Direct oral anticoagulants (DOACs), proton pump inhibitors (PPIs), drug interaction, population-based cohort study.

Word count: 2501

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61

Strengths and limitations of this study

- This will be a population-based cohort study using Ontario’s administrative health databases.
- Exposures and covariates will be time dependent.
- As the study is limited to patients aged >66 years, we cannot generalize the results to younger patients.
- As with any observational study, there is potential for residual confounding.

For peer review only

INTRODUCTION

Background/rationale

The direct oral anticoagulants (DOACs) refer to the factor Xa inhibitors-rivaroxaban, edoxaban, apixaban, and betrixaban, and the direct thrombin inhibitor-dabigatran.¹ Before introducing DOACs within the last decade, the vitamin-K-antagonist (VKA) warfarin was the only oral anticoagulant used for prevention and treatment of thrombosis.² Proton pump inhibitors (PPIs), are H⁺-K⁺-blockers, that are used to manage acid-related gastrointestinal (GI) disorders.³ Currently, there are six PPIs available in Canada: omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole, and dexlansoprazole. The evidence for PPIs for treating gastroesophageal reflux disease and GI bleeding has been used to indirectly support its concomitant use with DOACs.⁴⁻⁸ In Canada, with the availability of the DOACs, the proportion of total oral anticoagulant (OAC) prescriptions attributable to warfarin steadily decreased, from 99% in 2010 to around 10% in 2017.⁹⁻¹⁰ According to the 2014 guidelines on AF of the Canadian Cardiovascular Society, most patients for whom an OAC is indicated should receive a DOAC rather than warfarin (strong recommendation, high-quality evidence).¹¹ At the same time, over 33 million prescriptions of PPIs were dispensed in Canada in 2016, and the number is increasing over time.¹² In 2018, direct factor Xa inhibitors and PPIs were among the top 10 drug classes in terms of public drug program spending in seniors: \$316.2 million and \$180.8 million, respectively.¹³

In a recent systematic review we showed an increased risk of bleeding in patients receiving PPI plus warfarin compared to warfarin alone (OR 1.34, 95% CI, 1.22 -1.47), likely at least partly due to residual confounding.¹⁴ However, controversy remains about the overall net clinical benefit for the PPIs when given with DOACs. Some studies reported no evidence of a protective effect of PPIs against dabigatran-related GI bleeding.¹⁵⁻¹⁶ One large randomized trial showed that pantoprazole treatment in addition to low dose rivaroxaban did not reduce upper GI bleeding.¹⁷ A prospective pilot study demonstrated that the use of dabigatran with PPIs reduced dabigatran plasma levels in patients with AF.¹⁸ Similarly, it was reported that there were no significant changes found in the anticoagulant activity of factor Xa inhibitors (rivaroxaban, apixaban, edoxaban) according to PPI exposure.¹⁹⁻²¹ There are several reports of potential pharmacodynamic and pharmacokinetic interactions between PPIs and antithrombotic agents linked to an increase of thromboembolic event.²²⁻²⁴ However, except for a lower risk of upper GI bleeding, no other clinically meaningful drug-drug interaction (DDIs) with PPIs were reported for DOACs.²⁵⁻²⁸

There is concern that the use of PPIs may reduce the efficacy of DOACs due to alteration of gastric pH as an acidic environment is required for the dissolution of DOACs; the increase in gastric pH induced by PPIs might affect the solubility and absorption of some of the DOACs (i.e., dabigatran and rivaroxaban).²⁹ In the RE-LY trial, concomitant use of PPIs reduced dabigatran exposure by 15%, but no significant impact on efficacy outcomes was observed.³⁰ A pilot RCT reported that a 2-week period of PPI withdrawal leads to a significant increase in dabigatran trough and peak plasma levels in patients with AF.³¹

It is important for clinicians to know whether there are clinically relevant effects of the interaction between PPIs and DOACs when they are co-prescribed. Several studies have considered the effects

1
2
3 102 of cotherapy on GI bleeding.^{7 32 33} However, none explicitly investigate the effects of concomitant
4 103 PPIs on the range of risks and benefits (i.e., clinically relevant gastrointestinal bleeding,
5 104 thromboembolic events, or death) simultaneously in DOAC-treated patients.

7
8 105 **Objectives**

9
10 106 The objective of the study is to examine the risk of thromboembolic events, clinically relevant
11 107 bleeding, and all-cause death in patients concomitantly prescribed DOACs and PPIs.

12
13 108 Our research question is: Among patients receiving DOACs for any indication, does concomitant
14 109 PPI prescription alter the event rate for the composite outcome (thromboembolic events, clinically
15 110 relevant bleeding events, and death), compared to not taking PPIs?

16
17 111 **METHODS AND ANALYSIS**

18
19 112 **Study design and data sources**

20
21 113 Our study is a population-based cohort study of administrative healthcare data in Ontario, Canada's
22 114 most populous province. The databases that will be used are listed in Table 1.

23
24 115 We will use Ontario's administrative health databases, which are linked at the person-level using
25 116 a coded version of the Ontario health insurance number. Prescription drug claims will be identified
26 117 using the Ontario Drug Benefit Database, which contains comprehensive records of prescriptions
27 118 dispensed to all Ontarians aged 65 years or older. The Canadian Institute for Health Information
28 119 (CIHI) Discharge Abstract Database captures diagnostic and procedural information about hospital
29 120 admissions. The Ontario Health Insurance Plan Registered Persons Database contains
30 121 demographic and mortality data. OHIP physician claims data will be used to identify physicians'
31 122 services. Researchers routinely use these databases to study the clinical consequences of drug-drug
32 123 interactions.^{34 35} International Classification of Diseases, 9th Revision, Clinical Modification
33 124 (ICD-9-CM) codes and International Classification of Diseases, 10th Revision, Clinical
34 125 Modification (ICD-10-CM) codes will be used to capture the clinical diagnoses associated with
35 126 healthcare encounters (see **Table 1&Appendix**). The planned start and end dates for the study are
36 127 November 1, 2021, and December 31, 2022, respectively.

37
38
39 128 **Study Population**

40
41 129 Ontario residents aged 66 years or older who are newly dispensed a DOAC (dabigatran,
42 130 rivaroxaban, edoxaban, apixaban, or betrixaban) from 1 January 2009 to 31 March 2020 will be
43 131 included. As prescription drug information is available for all adults from their 65th birthday in
44 132 Ontario, including individuals aged 66 years or older will allow for a 1-year lookback period for
45 133 existing medications. We will exclude patients with a missing or invalid provincial health
46 134 insurance number, missing age or sex, and prescription for multiple DOACs at entry. Patients will
47 135 be censored upon death, hospitalization for bleeding or thrombosis, discontinuation of DOAC,
48 136 switch to other than the entry DOAC, loss of health insurance, or the end of the study period (31
49 137 March 2020), whichever occurs first. A study flow diagram is provided in Figure 1.

50
51
52 138 **Patient and public involvement**

139 No patient involved.

140 Main Exposures

141 We will create a DOAC cohort (the control cohort) and a DOAC-PPI co-therapy cohort (the
142 exposure cohort). Drug exposure with doses will be determined from records of dispensation.
143 Exposure to DOACs and PPIs will be treated as time-varying variables. The drug exposure period
144 will be defined according to the combination of the date the prescription is filled and the
145 prescription duration (days supplied).

146 We will identify a period of continuous DOAC use for each patient, beginning with the first
147 pharmacy claim for a DOAC following the patient's 66th birthday (index date). Our definition of
148 continuous use is a subsequent prescription within 1.5 times the days supplied of the previous
149 DOAC prescription, using a minimum grace period of 30 days. The risk of DOAC-related
150 bleeding, thromboembolic events, or death will be captured only while patients are taking the index
151 DOAC. Thus, all study analyses will be restricted to periods of anticoagulant treatment during
152 follow-up, defined as the interval from the date the prescription was filled through 1 day after the
153 end of the days of supply, representing approximately two half-lives of the DOACs.

154 PPI co-therapy will be defined as the period during which gastroprotective effects are most
155 plausible, defined as the interval from filling the prescription (or index date) through the end of
156 the dispensed days of supply. No co-therapy will be defined as person-days with no filled PPI
157 prescription during the observational window.

158 Main outcomes

159 The primary outcome will be a composite of clinically relevant bleeding, thrombotic events, or all-
160 cause death. The diagnosis and procedure codes used to define the outcomes can be found in
161 Appendix. Thrombotic events are defined as any thromboembolic event, including myocardial
162 infarction (MI), systemic embolism, ischemic stroke, deep vein thrombosis (DVT), and pulmonary
163 embolism (PE) as captured in hospital discharge abstracts (CIHI-DAD) or emergency department
164 records (NACRS). Clinically relevant bleeding is defined as hospitalization with a most
165 responsible diagnosis, or an emergency department visit with a primary diagnosis of any bleeding.
166 Secondary outcomes include the individual members of the composite primary outcome measure,
167 emergency department visits for the primary outcome. And hospitalization for the primary
168 outcome. Outcomes will be measured through the records for the hospitalizations and emergency
169 visits registered in the accordingly databases after the index date.

170 Sample size

171 We will include up to 26 covariates in the final multivariable Poisson regression models and a
172 minimum of 520 patients ($26 \text{ covariates} \times 20$) with at least one of the components of the composite
173 outcome (i.e., clinically relevant bleeding, thromboembolic events, or death).³⁶ To our knowledge,
174 there have been no studies examining rates of the composite outcome of clinically relevant
175 bleeding, thromboembolic events, or death for patients taking DOACs precisely as we have
176 defined them here. However, the sample size is feasible. According to a recently published ICES
177 population-based study, 128,273 patients (average 14,252 annually) were initiated anticoagulation

with a DOAC from 2009 to 2017, and 10.5% was reported for the composite outcome (i.e., clinically relevant bleedings, thromboembolic events, and death).³⁷ If the percentage of co-therapy with PPIs is around 35% (264,447 person-years/ 754,389 person-years as reported by Ray et al.),⁷ the patients in the co-therapy cohort can reach 5000 annually in ICES databases. During the 10-year observational windows, there should be around 5,250 patients with at least one component event of the composite outcome. Although it will be more than enough to fulfill our target sample size, we will still include any case eligible to perform the final analysis.

Covariates

The potential confounders include patient demographics [age at cohort entry date, sex, urban/rural (RPDB rural variable) at cohort entry, and socioeconomic status (income quintiles: census-based median neighborhood [Dissemination Area] income quintile) at cohort entry date], indications [AF, thromboembolism, valve replacement/repair comorbidities, hip or knee replacement], Charlson Comorbidity Index at entry date, comorbidities (myocardial infarction, congestive heart failure, peripheral vascular disease, ischemic stroke, transient ischemic attack, dementia, chronic pulmonary disease, anemia, kidney diseases, and hepatic diseases), components of HAS-B₂ED score at cohort entry date (hypertension, abnormal kidney or liver function, stroke, bleeding history, and alcohol use)], CHA₂ DS₂-VASc Score for AF stroke risk at cohort entry date, and the medications relevant to the outcomes (warfarin (yes/no) within 100 days preceding the index date, former PPIs co-therapy consisted of person-days for patients who filled a PPI prescription in the past year, but whose days of supply ended and, thus, should not benefit from co-therapy.

The potential mediators of the proposed covariates during the following-up period include prescription aspirin (time-varying covariable), antiplatelet agents (time-varying covariable), nonsteroidal anti-inflammatory drugs (time-varying covariable), statins (yes/no), antimicrobials (yes/no), histamine H₂ receptor antagonists (cimetidine, famotidine, nizatidine, sucralfate, and ranitidine) (yes/no), and selective serotonin receptor inhibitors (yes/no). Detailed information on covariates is provided in **Appendix**.

Bias

To control for confounding, we will include covariables mentioned above in the model to adjust the results. Furthermore, time-varying exposures will help address potential time-varying confounding.³⁸ For instance, the doses of our primary exposures (DOACS and PPIs) and prescription of other drugs that may affect outcome risk (e.g., NSAIDs and antiplatelet agents) will be captured in a time-varying fashion on a day-to-day basis, and time-dependent Poisson regression models will be used. However, one of the key limitations of any observational study is the risk of residual confounding, even after all potential adjustments are made. In addition, any missing data will be dealt with by multiple imputation should observations be missing in more than 10% of cases.³⁹

Data collection

The lookback windows include 1) 365 days for defining new DOAC use, 2) 100 days for other related drugs, 3) 180 days to 3 years for disease comorbidities and derived indices, and 4) as per the diagnosis dates in ICES-derive chronic disease cohorts.

Baseline data collection will include age at cohort entry, sex, key medical comorbidities (see Appendix), previous GI bleeding history, indications for DOAC, the name of DOAC and PPIs, the first prescription date of DOAC (index date), information for covariates, patients who transfer to other DOAC during the observational window, and the type and date of each outcome.

Data analysis

As this is a population-based study, we will include all eligible Ontario residents. We will compare baseline characteristics of exposures and controls using standardized differences. We will compute a set of stabilized inverse probability of treatment (IPT) weight to account for differences in the baseline characteristics (Appendix) between the two cohorts.⁴⁰ First, the IPT weights will be obtained by fitting a logistic regression model with the primary outcome and the DOACs and PPIs co-therapy as independent variables. Next, we will apply IPT weights and assessed balance between the two cohorts by calculating weighted standardized differences, which express the difference of means or prevalence between the two cohorts as a proportion of the pooled standard deviation (SD), with standardized differences above 0.10 considered potentially meaningful. The time-dependent Poisson regression model will then be used to estimate the adjusted incidence of the target outcomes according to both exposure cohort and control cohort with all available covariates using the weighted sample⁴¹ and IPT weight adjusted incidence rate ratios (IRR) and 95% confidence intervals (CI) will be obtained. The criterion for statistical significance will be set at $\alpha = 0.05$. All statistical analyses will be performed at ICES using SAS version 9.4 (SAS Institute).

Sensitivity analysis will be performed 1) by excluding patients who did not maintain their original DOAC use assignments during their follow-up, and 2) by excluding patients who re-entered the cohort. Subgroup analysis will be performed according to the different DOACs, PPIs, and indications, respectively, sample size permitting.

ETHICS AND DISSEMINATION

This research is exempt from REB review as the data used in the project is authorized under section 45 of Ontario's Personal Health Information Protection Act. The data will be analysed at ICES (www.ices.on.ca) in linked, anonymized form. Upon completion, the results of this population-based study will be submitted to a peer-reviewed biomedical journal for publication and presented at several conferences.

Collaborators Not applicable.

Author Contributions AH and MW obtained the funding and developed the study idea. MW, AH and MP designed the study. MW obtained data permissions and research ethics approvals. LT (Lehana Thabane), DS, Laura Targownik (LT) and LM contributed to the study design, methodology and analysis plan. AH and DS provided clinical guidance, AH developed the

outcome data sets and MP provided expertise in large administrative health databases housed at ICES in designing the study. MW drafted the initial manuscript, and all authors critiqued the protocol manuscript. All authors approve the attached manuscript for publication and are accountable for all aspects of the work.

Declaration of Conflicting Interests The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding This is a sub study of a randomized clinical trial which is funded by the Canadian Institutes of Health Research (CIHR) under Award Number 365834 to Dr. Anne Holbrook and in part by a studentship award to Mei Wang from Father Sean O’Sullivan Research Centre, St. Joseph’s Healthcare Hamilton (no award number) and a CanVECTOR Research Start-Up Award (no award number).

Data statement Not applicable.

Disclaimer The conclusions, opinions and statements expressed herein are those of the authors and do not necessarily reflect those of the funding or data sources; no endorsement is intended or should be inferred.

Competing interests None declared.

Patient consent for publication Not required.

Provenance and peer review Not commissioned, externally peer reviewed.

References

1. Chaudhary R, Sharma T, Garg J, et al. Direct oral anticoagulants: a review on the current role and scope of reversal agents. *J Thromb Thrombolysis* 2020;49(2):271-86. doi: 10.1007/s11239-019-01954-2 [published Online First: 2019/09/13]
2. Sterne JA, Boudalia PN, Bryden PA, et al. Oral anticoagulants for primary prevention, treatment and secondary prevention of venous thromboembolic disease, and for prevention of stroke in atrial fibrillation: systematic review, network meta-analysis and cost-effectiveness analysis. *Health Technol Assess* 2017;21(9):1-386. doi: 10.3310/hta21090 [published Online First: 2017/03/11]
3. Savarino V, Marabotto E, Zentilin P, et al. Proton pump inhibitors: use and misuse in the clinical setting. *Expert Rev Clin Pharmacol* 2018;11(11):1123-34. doi: 10.1080/17512433.2018.1531703 [published Online First: 2018/10/09]
4. Burnett AE, Mahan CE, Vazquez SR, et al. Guidance for the practical management of the direct oral anticoagulants (DOACs) in VTE treatment. *Journal of thrombosis and thrombolysis* 2016;41(1):206-32. doi: 10.1007/s11239-015-1310-7
5. Chan EW, Lau WC, Leung WK, et al. Prevention of Dabigatran-Related Gastrointestinal Bleeding With Gastroprotective Agents: A Population-Based Study. *Gastroenterology* 2015;149(3):586-95.e3. doi: <https://dx.doi.org/10.1053/j.gastro.2015.05.002>

- 290 6. O'Dea D, Whetteckey J, Ting N. A Prospective, Randomized, Open-Label Study to Evaluate
291 Two Management Strategies for Gastrointestinal Symptoms in Patients Newly on
292 Treatment with Dabigatran. *Cardiol* 2016;5(2):187-201.
- 293 7. Ray WA, Chung CP, Murray KT, et al. Association of Oral Anticoagulants and Proton Pump
294 Inhibitor Cotherapy With Hospitalization for Upper Gastrointestinal Tract Bleeding.
295 *Jama* 2018;320(21):2221-30. doi: <https://dx.doi.org/10.1001/jama.2018.17242>
- 296 8. Tang B, Xiao S. Logistic regression analysis of risk factors for upper gastrointestinal bleeding
297 induced by PCI in combination with double antiplatelet therapy for STEMI patients. *Acta*
298 *Gastroenterol Belg* 2020;83(2):245-48.
- 299 9. Weitz JI, Semchuk W, Turpie AG, et al. Trends in Prescribing Oral Anticoagulants in Canada,
300 2008-2014. *Clin Ther* 2015;37(11):2506-14.e4. doi: 10.1016/j.clinthera.2015.09.008
301 [published Online First: 2015/10/21]
- 302 10. Perreault S, de Denu S, White-Guay B, et al. Oral Anticoagulant Prescription Trends,
303 Profile Use, and Determinants of Adherence in Patients with Atrial Fibrillation.
304 *Pharmacotherapy* 2020;40(1):40-54. doi: 10.1002/phar.2350 [published Online First:
305 2019/11/24]
- 306 11. Verma A, Cairns JA, Mitchell LB, et al. 2014 focused update of the Canadian Cardiovascular
307 Society Guidelines for the management of atrial fibrillation. *Can J Cardiol*
308 2014;30(10):1114-30.
- 309 12. Summary Safety Review - Proton Pump Inhibitors (PPIs) - Assessing the risk of a type of
310 skin reaction [Subacute Cutaneous Lupus Erythematosus (SCLE)] 2017 [updated
311 December 7, 2017. Available from: [https://www.canada.ca/en/health-](https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html)
312 [canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-](https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html)
313 [inhibitors-assessing-risk-type-skin-reaction.html](https://www.canada.ca/en/health-canada/services/drugs-health-products/medeffect-canada/safety-reviews/proton-pump-inhibitors-assessing-risk-type-skin-reaction.html) accessed September 10 2020.
- 314 13. Lee K, Jani T, Cheng R, et al. Prescribed Drug Spending in Canada, 2019: A Focus on Public
315 Drug Programs. *Healthcare quarterly (Toronto, Ont)* 2020;23(1):10-12.
- 316 14. Wang M, Zeraatkar D, Obeda M, et al. Drug-drug interactions with warfarin: A systematic
317 review and meta-analysis. *Br J Clin Pharmacol* 2021;n/a(n/a) doi:
318 <https://doi.org/10.1111/bcp.14833>
- 319 15. Bang CS, Joo MK, Kim BW, et al. The Role of Acid Suppressants in the Prevention of
320 Anticoagulant-Related Gastrointestinal Bleeding: A Systematic Review and Meta-
321 Analysis. *Gut and liver* 2020;14(1):57-66. doi: <https://dx.doi.org/10.5009/gnl19009>
- 322 16. Nantsupawat T, Soontrapa S, Nantsupawat N, et al. Risk factors and prevention of
323 dabigatran-related gastrointestinal bleeding in patients with atrial fibrillation. *J*
324 2018;34(1):30-35. doi: <https://dx.doi.org/10.1002/joa3.12015>
- 325 17. Moayyedi P, Eikelboom JW, Bosch J, et al. Pantoprazole to Prevent Gastroduodenal Events
326 in Patients Receiving Rivaroxaban and/or Aspirin in a Randomized, Double-Blind,
327 Placebo-Controlled Trial. *Gastroenterology* 2019;157(2):403-12.e5. doi:
328 <https://dx.doi.org/10.1053/j.gastro.2019.04.041>
- 329 18. Bolek T, Samoř M, Stančiaková L, et al. The Impact of Proton Pump Inhibition on
330 Dabigatran Levels in Patients With Atrial Fibrillation. *Am J Ther* 2019;26(3):e308-e13.
331 doi: 10.1097/mjt.0000000000000599 [published Online First: 2017/04/30]
- 332 19. Bolek T, Samos M, Skornova I, et al. Does proton pump inhibition change the on-treatment
333 anti-Xa activity in xabans-treated patients with atrial fibrillation? A pilot study. *J Thromb*
334 *Thrombolysis* 2019;47(1):140-45. doi: <https://dx.doi.org/10.1007/s11239-018-1748-5>

1
2
3 335 20. Moayyedi P, Eikelboom JW, Bosch J, et al. Safety of Proton Pump Inhibitors Based on a
4 336 Large, Multi-Year, Randomized Trial of Patients Receiving Rivaroxaban or Aspirin.
5 337 *Gastroenterology* 2019;157(3):682-91.e2. doi:
6 338 <https://dx.doi.org/10.1053/j.gastro.2019.05.056>
7
8 339 21. Moore KT, Plotnikov AN, Thyssen A, et al. Effect of multiple doses of omeprazole on the
9 340 pharmacokinetics, pharmacodynamics, and safety of a single dose of rivaroxaban. *J*
10 341 *Cardiovasc Pharmacol* 2011;58(6):581-8. doi:
11 342 <https://dx.doi.org/10.1097/FJC.0b013e31822f6c2b>
12 343 22. Gilard M, Arnaud B, Cornily JC, et al. Influence of omeprazole on the antiplatelet action of
13 344 clopidogrel associated with aspirin: the randomized, double-blind OCLA (Omeprazole
14 345 CLopidogrel Aspirin) study. *J Am Coll Cardiol* 2008;51(3):256-60. doi:
15 346 10.1016/j.jacc.2007.06.064 [published Online First: 2008/01/22]
16 347 23. O'Donoghue ML, Braunwald E, Antman EM, et al. Pharmacodynamic effect and clinical
17 348 efficacy of clopidogrel and prasugrel with or without a proton-pump inhibitor: an analysis
18 349 of two randomised trials. *Lancet* 2009;374(9694):989-97. doi: 10.1016/s0140-
19 350 6736(09)61525-7 [published Online First: 2009/09/04]
20 351 24. Muldowney JA, 3rd, Benge CD. Combination therapy with clopidogrel and proton-pump
21 352 inhibitors. *Lancet* 2010;375(9708):27-8; author reply 28-9. doi: 10.1016/s0140-
22 353 6736(09)62183-8 [published Online First: 2010/01/30]
23 354 25. Hutchaleelaha A, Lambing J, Romanko K, et al. Effect of a Proton Pump Inhibitor or an
24 355 Antacid on Pharmacokinetics of Betrixaban, a Novel Oral Factor Xa Inhibitor: 1389928.
25 356 *Clinical Pharmacology in Drug Development* 2012;1(4)
26 357 26. Giugliano RP, Ruff CT, Braunwald E, et al. Edoxaban versus warfarin in patients with atrial
27 358 fibrillation. *N Engl J Med* 2013;369(22):2093-104.
28 359 27. Investigators H-V. Edoxaban versus warfarin for the treatment of symptomatic venous
29 360 thromboembolism. *N Engl J Med* 2013;369:1406-15.
30 361 28. Upreti VV, Song Y, Wang J, et al. Effect of famotidine on the pharmacokinetics of apixaban,
31 362 an oral direct factor Xa inhibitor. *Clinical pharmacology: advances and applications*
32 363 2013;5:59.
33 364 29. Ogawa R, Echizen H. Drug-drug interaction profiles of proton pump inhibitors. *Clin*
34 365 *Pharmacokinet* 2010;49(8):509-33. doi: 10.2165/11531320-000000000-00000 [published
35 366 Online First: 2010/07/09]
36 367 30. Liesenfeld KH, Lehr T, Dansirikul C, et al. Population pharmacokinetic analysis of the oral
37 368 thrombin inhibitor dabigatran etexilate in patients with non-valvular atrial fibrillation
38 369 from the RE-LY trial. *J Thromb Haemost* 2011;9(11):2168-75. doi:
39 370 <https://dx.doi.org/10.1111/j.1538-7836.2011.04498.x>
40 371 31. Schnierer M, Samos M, Bolek T, et al. The Effect of Proton Pump Inhibitor Withdrawal on
41 372 Dabigatran Etexilate Plasma Levels in Patients With Atrial Fibrillation: A Washout
42 373 Study. *J Cardiovasc Pharmacol* 2020;75(4):333-35. doi:
43 374 <https://dx.doi.org/10.1097/FJC.0000000000000791>
44 375 32. Lee SR, Kwon S, Choi EK, et al. Proton Pump Inhibitor Co-Therapy in Patients with Atrial
45 376 Fibrillation Treated with Oral Anticoagulants and a Prior History of Upper
46 377 Gastrointestinal Tract Bleeding. *Cardiovasc Drugs Ther* 2021 doi: 10.1007/s10557-021-
47 378 07170-6 [published Online First: 2021/03/18]

33. Lee H-J, Kim H-K, Kim B-S, et al. Risk of upper gastrointestinal bleeding in patients on oral anticoagulant and proton pump inhibitor co-therapy. *PLoS ONE* 2021;16(6):e0253310-e10. doi: 10.1371/journal.pone.0253310
34. Wright AJ, Gomes T, Mamdani MM, et al. The risk of hypotension following co-prescription of macrolide antibiotics and calcium-channel blockers. *Cmaj* 2011;183(3):303-7. doi: 10.1503/cmaj.100702 [published Online First: 2011/01/19]
35. Juurlink DN, Gomes T, Ko DT, et al. A population-based study of the drug interaction between proton pump inhibitors and clopidogrel. *Cmaj* 2009;180(7):713-8. doi: 10.1503/cmaj.082001 [published Online First: 2009/01/30]
36. Ogundimu EO, Altman DG, Collins GS. Adequate sample size for developing prediction models is not simply related to events per variable. *J Clin Epidemiol* 2016;76:175-82. doi: 10.1016/j.jclinepi.2016.02.031 [published Online First: 2016/03/12]
37. Durand M, Schnitzer ME, Pang M, et al. Comparative effectiveness and safety of direct oral anticoagulants versus vitamin K antagonists in nonvalvular atrial fibrillation: a Canadian multicentre observational cohort study. *CMAJ Open* 2020;8(4):E877-e86. doi: 10.9778/cmajo.20200055 [published Online First: 2020/12/24]
38. Mansournia MA, Etminan M, Danaei G, et al. Handling time varying confounding in observational research. *Bmj* 2017;359:j4587. doi: 10.1136/bmj.j4587 [published Online First: 2017/10/19]
39. Pedersen AB, Mikkelsen EM, Cronin-Fenton D, et al. Missing data and multiple imputation in clinical epidemiological research. *Clin Epidemiol* 2017;9:157-66. doi: 10.2147/clep.S129785 [published Online First: 2017/03/30]
40. Austin PC, Stuart EA. Moving towards best practice when using inverse probability of treatment weighting (IPTW) using the propensity score to estimate causal treatment effects in observational studies. *Stat Med* 2015;34(28):3661-79. doi: 10.1002/sim.6607 [published Online First: 2015/08/05]
41. Austin PC. An Introduction to Propensity Score Methods for Reducing the Effects of Confounding in Observational Studies. *Multivariate Behav Res* 2011;46(3):399-424. doi: 10.1080/00273171.2011.568786 [published Online First: 2011/08/06]

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure legend

Figure 1. Study flow diagram.

For peer review only

Table 1. Description of the Ontario databases to be used in the study

Name of database	Database description
1. Ontario Drug Benefit (ODB) Plan Database	Records of dispensed outpatient prescriptions paid for by the provincial government. The ODB formulary includes a wide range of routine outpatient medications, including the prescription drugs of interest to this study.
2. Canadian Institute for Health Information–Discharge Abstract Database (CIHI-DAD)	The CIHI-DAD collects diagnostic, and procedural variables for each admission to a hospital in Ontario. Coding of primary and secondary diagnoses and inpatient procedures uses the 10th version of the Canadian Modified International Classification of Diseases (ICD-10 CA) for all diagnoses after 2002.
3. Canadian Institute for Health Information–National Ambulatory Care Reporting System (CIHI-NACRS)	The NACRS is compiled by the Canadian Institute for Health Information (CIHI) and contains administrative, clinical (diagnoses and procedures), demographic, and administrative information for all patient visits made to hospital- and community-based ambulatory care centers (emergency departments, day surgery units, hemodialysis units, and cancer care clinics) in Ontario.
4. Ontario Health Insurance Plan (OHIP) Claims History Database	Claims for physician services paid for by the provincial government. It includes a fee code for each service and a diagnosis code for the condition representing the main reason for each service
5. OHIP Registered Persons Database (RPDB)	The RPDB captures information regarding Ontarians' sex, date of birth, postal code, and vital status.
6. Ontario Mental Health Reporting System (OMHRS)	The OMHRS analyzes and reports on information submitted to CIHI about all individuals receiving hospital-based adult mental health services in Ontario.
7. Same Day Surgery Database (SDS)	The SDS summarizes information about same day surgery encounters. Each record contains the procedures undergone as well as clinical information about the individual. The clinical information follows the ICD coding scheme (ICD-9 before 2002 and ICD-10 from 2002 onwards).
8. Corporate Provider Database (CPDB)	This database contains addresses, registration and program eligibility information (e.g., contracts such as primary care group) about individual health care providers, such as physicians.
9. ICES Physician Database (IPDB)	The IPDB contains information about physicians practicing in Ontario. The IPDB includes demographic information about each physician

	(i.e., age, sex), practice location, physician specialty, services provided, where each physician was trained and year of graduation.
10. Ontario Census Area Profiles (CENSUS)	Ontario-level demographic and statistical data on individuals and households.
11. Postal Code Conversion File (PCCF)	Links postal codes with Census-based area-level variables such as neighborhood income quintiles and urban/rural residence.
12. Ontario Asthma Database (ASTHMA)	ASTHMA contains all Ontario asthma patients identified since 1991.
13. Ontario Congestive Heart Failure Database (CHF)	The CHF database contains all Ontarians with CHF identified since 1991.
14. Ontario Chronic Obstructive Pulmonary Disease Database (COPD)	COPD contains all Ontario COPD patients identified since 1991.
15. Ontario Hypertension Database (HYPER)	HYPER contains all Ontario hypertension patients identified since 1991.
16. Ontario Dementia Database (DEMENTIA)	The Ontario Dementia Dataset is comprised of all Ontario persons who have been identified with Alzheimer's and related dementias in ICES data holdings between the ages of 40 to 110 years.
17. Ontario Crohn's and Colitis Cohort Database (OCCC)	OCCC includes all Ontario patients who were identified with Crohn's disease or Ulcerative Colitis from the ages of 0-105 years.
18. Ontario Diabetes Database (ODD)	The ODD is a population-based disease registry constructed using a validated algorithm based on hospitalizations and physician visits to identify individuals with physician-diagnosed diabetes mellitus in Ontario.
19. Ontario Rheumatoid Arthritis Database (ORAD)	ORAD contains data on all Ontario rheumatoid arthritis patients identified since 1991.
20. Ontario Cancer Registry (OCR)	Patient demographics, cancer diagnosis details, and death information.

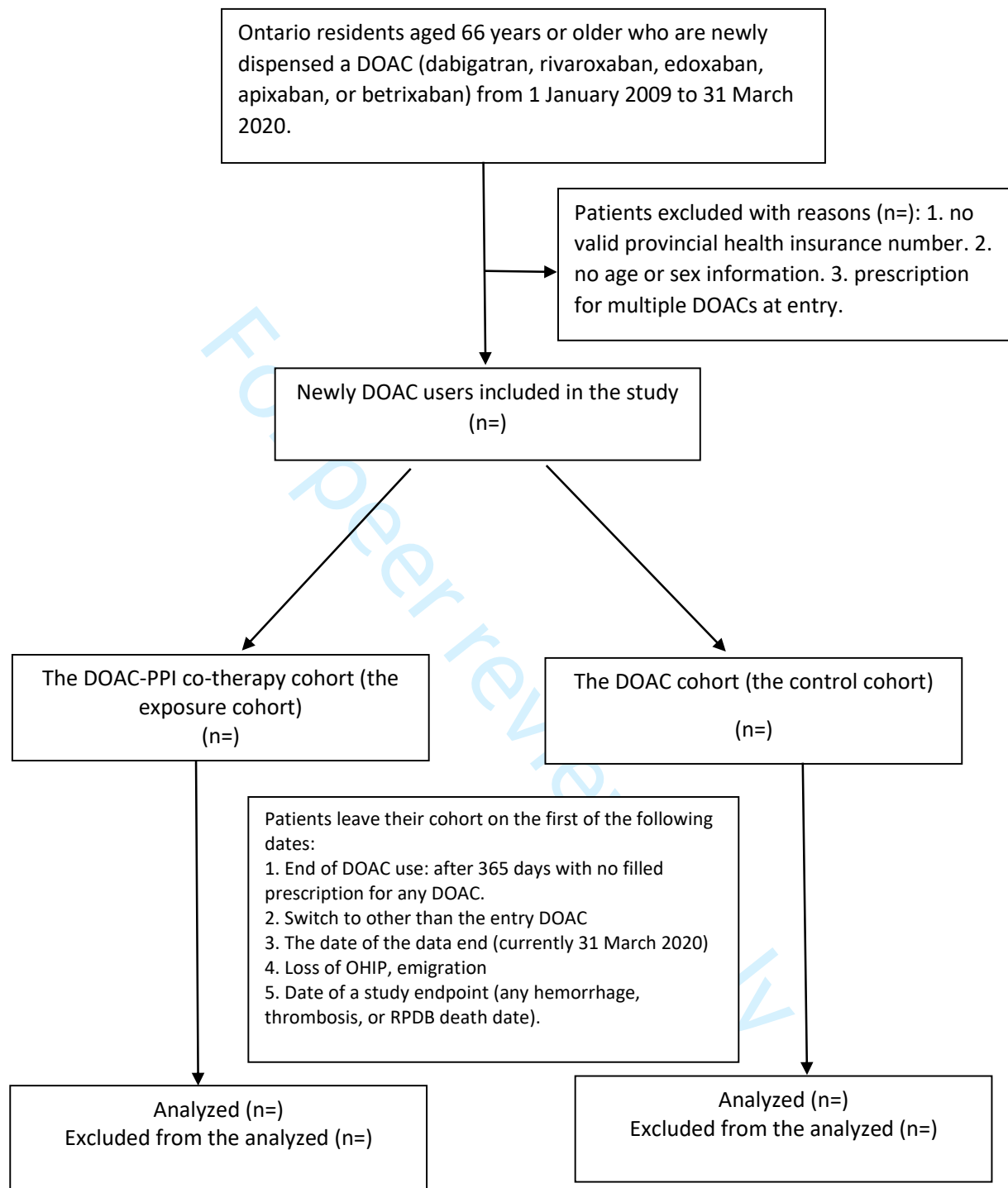


Figure 1. Study flow diagram.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

Appendix. Variables and their related data sources with codes (if applicable).

Variables	Data source	Codes or specified
Demographics		
Age & sex	RPDB and CENSUS	Not applicable
Income quintile	Statistics Canada and CENSUS	Not applicable
Rural residence	Census Postal Code Conversion File and CENSUS	Not applicable
Indications		
Atrial fibrillation (AF)	NACRS and DAD	ICD10 I48.0, I48.1, I48.2, I48.3, I48.4, I48.9
Thromboembolism	DAD, NACRS, and OHIP	DAD/NACRS ICD10: I26.0, I26.9, I80.1, I80.2, I80.3, I80.8, I80.9, I82.8, I82.9 OHIP Diagnosis Codes: 415, 451
Valve Replacement/Repair	DAD	DAD CCI : <ul style="list-style-type: none">• 1HU90 Mitral valve replacement• 1HU80 Mitral valve repair• 1HV90 Aortic valve replacement• 1HV80 Aortic valve repair• 1HT90 Pulmonary valve replacement• 1HT80 Pulmonary valve repair• 1HS90 Tricuspid valve replacement• 1HS80 Tricuspid valve repair• 1HW Valve annulus surgery
Hip or Knee Replacement	DAD	DAD CCI: <ul style="list-style-type: none">• 1VA53 implantation of internal device, hip joint• 1VG53 implantation of internal device; knee joint.
Exposures on a day-to-day basis during the following-up period		
Direct oral anticoagulants (DOACs)	ODB	Rivaroxaban, dabigatran, edoxaban, and apixaban
The proton pump inhibitors (PPIs)	ODB	Omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole, and dextlansoprazole.
Comorbidities		

<p>1. Chronic kidney disease (CKD) in the 3 years prior to cohort entry</p>	<p>CIHI-DAD and OHIP</p>	<p>CIHI-DAD:</p> <ul style="list-style-type: none"> • I12.0 Hypertensive renal disease with renal failure • I13.1 Hypertensive heart and renal disease with renal failure • N03.X Chronic nephritic syndrome • N05.X Unspecified nephritic syndrome • N18.X Chronic renal failure • N19.X Unspecified renal failure • N25.X Disorders resulting from impaired renal tubular function. <p>OHIP:</p> <ul style="list-style-type: none"> • 403 Hypertensive renal disease • 585 Chronic renal failure;
<p>2. End stage renal disease (ESRD) in the 180 days prior to cohort entry</p>	<p>DAD/NACRS</p>	<p>DAD/NACRS CCI</p> <ul style="list-style-type: none"> • 1PZ21HQBR • 1PZ21HPD4 • 1PZ21HQBS. • 1PC85LAXXJ transplant; kidney using living donor (allogenic or syngeneic) kidney • 1PC85LAXXK transplant; kidney using cadaver kidney. <p>OHIP Fee Codes</p> <ul style="list-style-type: none"> • R849 Dialysis - Hemodialysis - Initial & acute. • G323 Dialysis - Hemodialysis - Acute, repeat (max 3) • G325 Dialysis - Hemodialysis - Medical component (incl in unit fee) • G32 Dialysis - Chronic, contin. hemodialysis or hemofiltration each • G86 Chronic hemodialysis hospital location

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

		<ul style="list-style-type: none">• G862 Hospital self-care Chronic hemodialysis• G863 Chronic hemodialysis IHF location• G86 Chronic Home hemodialysis• G866 Intermittent hemodialysis treatment centre• G330 Peritoneal dialysis - Acute (up to 48 hrs)• G331 Peritoneal dialysis - Repeat acute (up to 48 hrs) max. 3• G332 Peritoneal dialysis - Chronic (up to 48 hrs) [NOT AFTER JAN 2008]• G861 Chronic peritoneal dialysis hospital location• G864 Chronic Home peritoneal dialysis• G082 Continuous venovenous hemodiafiltration• G083 Continuous venovenous haemodialysis• G085 Continuous venovenous hemofiltration• G090 Venovenous slow continuous ultrafiltration• G091 Continuous arteriovenous haemodialysis• G092 Continuous arteriovenous hemodiafiltration• G093 Hemodiafiltration - Contin. Init & Acute (repeatx3)• G094 Hemodiafiltration - Contin. Chronic• G095 Slow Continuous Ultra Filtration - Initial & Acute (repeat)
--	--	--

		<ul style="list-style-type: none"> • G096 Slow Continuous Ultra Filtration – Chronic • G294 Arteriovenous slow continuous ultrafiltration init and acute • G295 Continuous arteriovenous hemofiltration initial and acute • G333 Home/self-care dialysis • H540 Renal dialysis (outpatient).
3. Liver disease in the 3 years prior to cohort entry	CIHI-DAD and OHIP	CIHI-DAD: B18.x, K70.x, K71.1, K71.3–K71.5, K71.7, K72.x–K74.x, K76.0, K76.2–K76.9, Z94.4 liver disease. OHIP Diagnosis Code: 571 liver disease.
4. Alcoholism in the 3 years prior to cohort entry	CIHI and OHIP	CIHI: F102, G312, G621, G721, I426, K292, K860, Z8640. OHIP Diagnosis Code: 303
5. Dementia in the 3 years prior to cohort entry	Ontario Dementia Database (DEMENTIA)	Not applicable
6. Diabetes in the 3 years prior to cohort entry in the 3 years prior to cohort entry	Ontario Diabetes Dataset (ODD)	Not applicable
7. Hypertension: Ontario Hypertension Database in the 3 years prior to cohort entry	Ontario Hypertension dataset (HYPER)	Not applicable
8. Congestive heart failure (CHF) in the 3 years prior to cohort entry	Congestive Heart Failure (CHF)	Not applicable
9. Active Cancer	OCR, OHIP	Diagnosis in OCR within 1 year OR any of the following OHIP fee codes within 180 days: chemotherapy: G281, G339, G345, G359, G381, G382, G388; and radiation: X310, X311, X312, X313.
10. CHADS ₂ -VASc Score for Atrial Fibrillation Stroke Risk at cohort entry date	As specified for each code related.	<ol style="list-style-type: none"> 1. Congestive heart failure (CHF database): 1 point 2. Hypertension (HYPER database): 1 point 3. Age 65-74 years: 1 point and age 75 years or older: 2 points

		<p>4. Diabetes Mellitus (Ontario Diabetes Database): 1 point</p> <p>5. Previous thromboembolism (codes as following in the preceding 3 years): Any or more than 1 of these codes leads to 2 points. Total score can be 0 or 2.</p> <p>6. Vascular disease (CAD or PVD: CIHI DAD/NACRS: I25x, I70x, I71x, I73x, I74x, K55.1. OHIP: 412, 451 in the preceding 3 years): 1 point</p> <p>7. Female Sex: 1 point</p>
<p>11. HAS-BLED Score at cohort entry date: HAS-B_ED is HAS-BLED without the variable INR (with factors as defined above in the 3-y preceding entry or according to the definition of the ICES-derived cohort)</p>	<p>As specified for each code related.</p>	<p>1. Hypertension (HYPER database): 1 point</p> <p>2. Abnormal renal function (codes for CKD and ESRD) described above): 1 point</p> <p>3. Abnormal liver function (codes described above): 1 point</p> <p>4. Stroke or TIA (CIHI-DAD: I63.0, I63.1, I63.2, I63.3, I63.4, I63.5, I63.6, I63.8, I63.9, I64, I65, I65.0, I65.1, I65.2, I65.3, I65.8, I65.9, I66, I66.0, I66.1, I66.2, I66.3, I66.4, I66.8, I66.9 cerebral infarction (ischemic stroke); G45.0, G45.1, G45.2, G45.3, G45.8, G45.9 transient ischemic attack (TIA)): 1 point</p> <p>5. Bleeding history (bleeding codes described as following in outcome section): 1 point</p> <p>6. Elderly: Age over 65: 1 point</p> <p>7. Alcoholism (codes described above): 1 point</p>
<p>12. Charlson Comorbidity Index (using a 3-year lookback).</p>	<p>DAD</p>	<p>Derived using an ICES-developed macro</p>
Potential drug interactions – dispensed in the past 3 months prior to cohort entry		
<p>1. Warfarin: yes/no</p>	<p>ODB</p>	<p>Not applicable</p>
<p>1. Former PPIs co-therapy: yes/no</p>	<p>ODB</p>	<p>Not applicable</p>

Potential drug interactions – dispensed during the following up period		
1. Non-steroidal anti-inflammatory drugs*: day-to-day basis	ODB	ibuprofen, naproxen, etodolac, nabumetone, indomethacin, rofecoxib, celecoxib, etoricoxib, valdecoxib, and meloxicam
2. Selective serotonin reuptake inhibitors (SSRI): yes/no.	ODB	citalopram, escitalopram, fluoxetine, paroxetine, sertraline, duloxetine, mirtazapine, trazodone, amitriptyline, nortriptyline, imipramine, and bupropion
3. Amiodarone	ODB	Not applicable
4. Statins: yes/no.	ODB	Atorvastatin, Fluvastatin, Pravastatin, or Simvastatin
5. Aspirin*: day-to-day basis	ODB	Not applicable
6. Antiplatelets: day-to-day basis	ODB	clopidogrel, ticagrelor, dipyridamole, ticlopidine, or prasugrel
7. Antimicrobials: yes/no.	ODB	Fluconazole, Cephalexin, Cefuroxime, Cotrimoxazole, trimethoprim, Macrolides, Azithromycin, Clarithromycin, Macrolides, Ocular Antibiotics, Amoxicillin, Ampicillin, Penicillins, Gatifloxacin, Ciprofloxacin, Norfloxacin, Quinolones, or Levofloxacin
Outcomes		
Bleeding events	CIHI-DAD and CIHI-NACRS	ICD10 1. Intracranial haemorrhage: I60, I61, I62.0, I62.1, I62.9, S06.400, S06.401, S06.410, S06.411, S06.420, S06.421, S06.430, S06.431, S06.440, S06.441, S06.490, S06.491, S06.500, S06.501, S06.510, S06.511, S06.520, S06.521, S06.530, S06.531, S06.540, S06.541, S06.590, S06.591, S06.600, S06.601, S06.610, S06.611, S06.620, S06.621, S06.630, S06.631, S06.640, S06.641, S06.690, S06.691 2. Eye haemorrhage H35.6, H43.1, H45.0, H11.3, H31.3

		<div>3. Bleeding of respiratory system: R04.0, R04.1, R04.2, R04.8, R04.9, J94.2</div> <div>4. Upper GI bleeding: I85.0, I98.20, I98.3, K22.10, K22.12, K22.14, K22.16, K22.6, K25.0, K25.2, K25.4, K25.6, K26.0, K26.2, K26.4, K26.6, K27.0, K27.2, K27.4, K27.6, K28.0, K28.2, K28.4, K28.6, K29.0, K31.80</div> <div>5. Lower GI bleeding and general GI bleeding: K62.5, K55.20, K55.21, K63.80, K92.0, K92.1, K92.2</div> <div>6. Urogenital system bleeding: R31, R310, R311, N02.0, N02.1, N02.2, N02.3, N02.4, N02.5, N02.6, N02.7, N02.8, N02.9, N93.0, N93.8, N93.9, N95.0</div> <div>7. Bleeding of muscular and skeletal systems: M25, M25.00, M25.01, M25.02, M25.03, M25.04, M25.05, M25.06, M25.07, M25.08, M25.09</div> <div>8. Others: K66.1, N42.1, R58, T79.2, K66.1, D68.3</div>
Thromboembolic event	CIHI-DAD and CIHI-NACRS	<div>ICD10</div> <div>1. Cerebral infarction (ischemic stroke): I63.0, I63.1, I63.2, I63.3, I63.4, I63.5, I63.6, I63.8, I63.9, I64, I65, I65.0, I65.1, I65.2, I65.3, I65.8, I65.9, I66, I66.0, I66.1, I66.2, I66.3, I66.4, I66.8, I66.9</div> <div>2. Transient ischemic attack (TIA): G45.0, G45.1, G45.2, G45.3, G45.8, G45.9</div> <div>3. Retinal vascular occlusions: H34.0, H34.1, H34.2, H34.8, H34.9</div>

		<ol style="list-style-type: none"> 4. Myocardial infarction (MI): I21.1, I21.2, I21.3, I21.4, I21.9, I22.0, I22.1, I22.8, I22.9, I23.0, I23.2, I23.3, I23.4, I23.5, I23.6, I23.8, I24.0, I24.1, I24.8, I24.9 5. Pulmonary embolism (PE): I26.0, I26.9 6. Vascular disorders of intestine: K55.0, K55.1, K55.9 7. Systemic embolism: I74.0, I74.1, I74.2, I74.3, I74.4, I74.5, I74.8, I74.9 8. Atherosclerosis: I70.0, I70.1, I70.2, I70.20, I70.21, I70.8, I70.9 9. Nontraumatic ischemic infarction of muscle: M62.2 10. Thrombophlebitis: I80.0, I80.1, I80.2, I80.3, I80.8, I80.9, G08 11. Other venous embolism and thrombosis: I82.0, I82.1, I82.2, I82.3, I82.8, I82.9, I81, I67.6 12. Other peripheral vascular diseases: I73.1, I73.8, I73.9
All cause death	RPDB	Not applicable

Abbreviation: the abbreviation for databases refer to Table 1., CCI for Canadian Classification of Interventions codes.

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page / lines
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1 / 1-2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	As it is a protocol, n/a
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-4 / 32-74
Objectives	3	State specific objectives, including any prespecified hypotheses	4 / 74-79
Methods			
Study design	4	Present key elements of study design early in the paper	4 / 81-83
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4 / 84-95
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4 / 96-105
		(b) For matched studies, give matching criteria and number of exposed and unexposed	n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5 /108-127 & 6/153-170
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Table 2
Bias	9	Describe any efforts to address potential sources of bias	6 / 171-178
Study size	10	Explain how the study size was arrived at	5-6 / 138-152
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7 / 179-186
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7 / 187-208
		(b) Describe any methods used to examine subgroups and interactions	
		(c) Explain how missing data were addressed	
		(d) If applicable, explain how loss to follow-up was addressed	
		(e) Describe any sensitivity analyses	
Results			As it is a protocol, n/a
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	

		(b) Give reasons for non-participation at each stage	n/a
		(c) Consider use of a flow diagram	n/a
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	n/a
		(b) Indicate number of participants with missing data for each variable of interest	n/a
		(c) Summarise follow-up time (eg, average and total amount)	n/a
Outcome data	15*	Report numbers of outcome events or summary measures over time	n/a
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	n/a
		(b) Report category boundaries when continuous variables were categorized	n/a
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	n/a
Discussion			
Key results	18	Summarise key results with reference to study objectives	n/a
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	n/a
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	n/a
Generalisability	21	Discuss the generalisability (external validity) of the study results	n/a
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	8 / 234-238

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.